



# **Fairfax County Consolidated Community Funding Pool**

FY 2019-2020

Funding Application Resource  
Manual

RFP # 2000002372

July 1, 2018-June 30, 2020



# Introduction

This Manual is designed to help agencies prepare proposals in response to the FY 2019 through FY 2020 Consolidated Community Funding Pool (CCFP) Request for Proposals (RFP).

First and foremost, the Manual is part of the RFP and will be part of any resulting contracts. Before applying for funding, agencies must review the Fairfax County General Conditions and Instructions to Bidders, the Special Provisions, as well as the state and federal laws and regulations referenced herein to ensure they can comply with these ***contractual obligations***. (Applicable state and federal laws and regulations can be found on the government websites listed in the Table of Contents, page 3.)

Secondly, the Manual includes information that will be helpful in the preparation of proposals. A careful reading of the FY 2019-2020 Consolidated Community Funding Pool RFP Funding Priorities is recommended as responding agencies must decide which funding priorities their program/project supports.

The Manual contains a glossary of terms, answers to frequently asked questions, and a listing of online resources with descriptive data about Fairfax County residents. It also includes Community Services Block Grant (CSBG) and Community Development Block Grant (CDBG) income limits and the Fairfax County Human Services Region map. Please refer to the income limits and map when completing the Proposal Summary Sheet.

Finally, the Manual contains Fairfax County policies that provide the framework for the Consolidated Community Funding Pool, including the link to the 2017 Consolidated Plan - One-Year Action Plan and Fairfax County's vision, core purpose and desired results. A separate section of the Manual provides guidelines that pertain solely to applicants submitting proposals for affordable housing capital projects.

# Consolidated Community Funding Pool

## FY 2019-2020

### Funding Application Resource Manual

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**1 AUDITS:**

- 1.1 The contractor will provide an annual audit report including the opinion letter, management letter, income statement, balance sheet, and notes to the financial statements, within 180 days after the end of the contractor's fiscal year, unless the County has granted a written extension. In addition, the County may request other financial documents, including, but not limited to monthly balance sheet, payroll records, interim financial statements, etc. as part of a financial review of the contracted services. Non-receipt of an annual audit and/or other financial documents may affect funding disbursements and/or future county funding consideration.
- 1.2 If the contractor expends in excess of \$750,000 annually in combined Federal awards, it shall be the responsibility of the contractor to obtain a Federal OMB Circular A-133 audit.
- 1.3 The contractor shall maintain books, records and documents of all costs and data in support of the services provided. Fairfax County or its authorized representative shall have the right to audit the books, records and documents of the contractor under the following conditions:
  - a. If the contract is terminated for any reason in accordance with the provisions of the contract documents in order to arrive at equitable termination costs;
  - b. In the event of a disagreement between the contractor and the County on the amount due the contractor under the terms of this contract;
  - c. To check or substantiate any amounts invoiced or paid which are required to reflect the costs of services, or the contractor's efficiency or effectiveness under this contract;
  - d. If it becomes necessary to determine the County's rights and the contractor's obligations under the contract or to ascertain facts relative to any claim against the contractor, which may result in a charge against the County; and,
  - e. If at any time during the course of the contract there are indications that the financial solvency of the contractor may affect its ability to complete the terms of the contract.
- 1.4 These provisions for an audit shall give Fairfax County unlimited access during normal working hours to the contractor's books and records under the conditions stated above.
- 1.5 Unless otherwise provided by applicable statute, the contractor, from the effective date of final payment or termination hereunder, shall preserve and make available to Fairfax County for a period of five (5) years thereafter, at all reasonable times at the office of the contractor but without direct charge to the County, all its books, records, documents and other evidence bearing on the costs and expenses of the services relating to the work hereunder.
- 1.6 Fairfax County's right to audit and the preservation of records shall terminate at the end of five (5) years as stated herein. The contractor shall include this "Right of Audit and Preservation of Records" clause in all subcontracts issued and shall require the same clause to be inserted by all lower tier subcontractors in their subcontracts, for any portion of the work.
- 1.7 Should the contractor fail to include these clauses in any such contract or lower tier contract, or otherwise fail to insure Fairfax County's rights hereunder, the contractor shall be liable to Fairfax County for all reasonable costs, expenses and attorney fees which Fairfax County may have to incur in order to obtain an audit or inspection of or the restoration of records which would have otherwise been available to Fairfax County or its authorized representative from said persons under this clause. Such audit may be conducted by Fairfax County or its authorized representative.

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**2 ACCESS TO AND INSPECTION OF WORK:**

- 2.1 The Fairfax County Purchasing Agent and using agencies will, at all times, have access to client and activity records and work being performed under County contract wherever it may be in progress or preparation.

**3 CONTRACT INSURANCE PROVISIONS:**

- 3.1 The contractor shall be responsible for its work and every part thereof, and for all materials, tools, equipment, appliances, and property of any and all description used in connection therewith. The contractor assumes all risk of direct and indirect damage or injury to the property or persons used or employed on or in connection with the work contracted for, and of all damage or injury to any person or property wherever located, resulting from any action, omission, commission or operation under the contract.
- 3.2 The contractor shall, during the continuance of all work under the contract, provide the following:
- a. Maintain statutory Workers' Compensation and Employer's Liability insurance in limits of not less than \$100,000 to protect the contractor from any liability or damages for any injuries (including death and disability) to any and all of its employees, including any and all liability or damage which may arise by virtue of any statute or law in force within the Commonwealth of Virginia.
  - b. The contractor agrees to maintain Commercial General Liability insurance in the amount of \$1,000,000 per occurrence/aggregate, to protect the contractor, its subcontractors, and the interest of the County, its officers and employees against any and all injuries to third parties, including bodily injury and personal injury, wherever located, resulting from any action or operation under the contract or in connection with the contracted work.
  - c. The contractor agrees to maintain owned, non-owned, and hired Automobile Liability insurance, in the amount of \$1,000,000 per occurrence/aggregate, including property damage, covering all owned, non-owned, borrowed, leased, or rented vehicles operated by the contractor.
  - d. Liability insurance may be arranged by General Liability and Automobile Liability policies for the full limits required, or by a combination of underlying Liability policies for lesser limits with the remaining limits provided by an Excess or Umbrella Liability policy.
  - e. The contractor agrees to provide insurance issued by companies admitted within the Commonwealth of Virginia, with the Best's Key Rating of at least A:VI.
  - f. European markets, including those based in London and the domestic surplus lines markets that operate on a non-admitted basis, are exempt from this requirement provided that the contractor's broker can provide financial data to establish that a market is equal to or exceeds the financial strengths associated with the A.M. Best's rating of A:VI or better.
  - g. The contractor will provide an original, signed Certificate of Insurance citing the contract number and such endorsements as prescribed herein.
  - h. The contractor will secure and maintain all insurance certificates of its subcontractors, which shall be made available to the County on demand.

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- i. The contractor will provide on demand certified copies of all insurance policies related to the contract within ten business days of demand by the County. These certified copies will be sent to the County from the contractor's insurance agent or representative.
  - j. Agrees to maintain, if applicable, insurance coverage typical to and in compliance with requirements of the Fairfax County Housing Redevelopment and Housing Authority for real property acquired and owned as a result of or in connection with a resulting contract.
- 3.3 No change, cancellation, or non-renewal shall be made in any insurance coverage without a 30-day written notice to the County. The contractor shall furnish a new certificate prior to any change or cancellation date. The failure of the contractor to deliver a new and valid certificate will result in suspension of all payments until the new certificate is furnished.
  - 3.4 Compliance by the contractor and all subcontractors with the foregoing requirements as to carrying insurance shall not relieve the contractor and all subcontractors of their liabilities provisions of the contract.
  - 3.5 Contractual and other liability insurance provided under this contract shall not contain a supervision, inspection or engineering services exclusion that would preclude the County from supervising and/or inspecting the project as to the end result. The contractor shall assume all on-the-job responsibilities as to the control of persons directly employed by it and of the subcontractors.
  - 3.6 Nothing contained in the specifications shall be construed as creating any contractual relationship between any subcontractor and the County. The contractor shall be as fully responsible to the County for the acts and omissions of the subcontractors and of persons employed by them as it is for acts and omissions of person directly employed by it.
  - 3.7 Precaution shall be exercised at all times for the protection of persons (including employees) and property.
  - 3.8 The Contractor and all subcontractors are to comply with the Occupational Safety and Health Act of 1970, Public Law 91-596, as it may apply to this contract.
  - 3.9 The County, its officers and employees shall be named as an "additional insured" in the Automobile and General Liability policies and it shall be stated on the Insurance Certificate that this coverage "is primary to all other coverage the County may possess."

**4 INDEMNIFICATION:**

- 4.1 The contractor shall indemnify, keep and save harmless the County, its agents, officials, employees and volunteers against claims of injuries, death, damage to property, theft, patent claims, suits, liabilities, judgments, cost and expenses which may otherwise accrue against the County in consequence of the granting of a contract or which may otherwise result there from, if it shall be determined that the act was caused through negligence or error, or omission of the contractor or his or her employees, or that of the subcontractor or his or her employees, if any; and the contractor shall, at his or her own expense, appear, defend and pay all charges of attorneys and all costs and other expenses arising there from or incurred in connection therewith; and if any judgment shall be rendered against the County in any such action, the contractor shall, at his or her own expense, satisfy and discharge the same. The contractor expressly understands and agrees that any performance bond or insurance protection required by this contract, or otherwise provided by the contractor, shall in no way limit the responsibility to indemnify, keep and save harmless and defend the County as herein provided.

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**5 BONDING:**

- 5.1 The contractor for awards funded in whole or in part with Community Development Block Grant Funds (CDBG) **shall obtain a Fidelity Bond** covering all persons handling funds received or disbursed and/or signing or co-signing checks to disburse funds under this contract, within thirty (30) days after the contract award date. The Fidelity Bond shall be in an amount no less than one hundred percent (100%) of the contract amount. **The contractor shall furnish the County proof of an adequate Fidelity Bond within thirty (30) days of the contract award date of this contract and prior to any disbursement of funds hereunder.**
- 5.2 If the contractor, whether directly or through contractors, engages in any construction, rehabilitation or renovation type activities, utilizing funds provided through this contract, the current and specific bonding and compliance provisions required at that time will prevail.

**6 PROGRAM/PROJECT AND FINANCIAL REPORT SUBMISSIONS:**

- 6.1 The contractor must maintain all records in compliance with federal and state regulations. The contractor must submit to the County performance measures, demographics, outcome measurements, monthly financial expenditures and any other reports as specified by the County. Unless otherwise stated in federal and state regulations, the contractor shall retain program documentation, including but not limited to client files, for a period of no less than five (5) years from the termination of the contract.
- 6.2 The County must receive the monthly reports referenced above by the 15<sup>th</sup> day of each month following the quarter the contractor provided the service, with the exception of financial expenditure reports which are due on a monthly basis (15 days after the conclusion of each month). All program/project reports must be provided on line at <http://fairfaxcounty.gov/webr/>. Failure to submit reports in accordance with the previously stated timelines may affect funding disbursements and/or future County funding consideration.

**7 POLITICAL ACTIVITY AND LOBBYING:**

- 7.1 None of the contract performance by the County shall involve, and no portion of the funds received by the contractor shall be used for, any political activity (including, but not limited to, an activity to further the election or defeat of any candidate for public office) or any activity undertaken to influence the passage, defeat or final content of legislation.
- 7.2 The contractor certifies, to the best of the contractor's knowledge and belief, that:

- a. No federal appropriated funds have been paid or will be paid, by or on behalf of the grantee, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

If any funds other than Federal appropriated funds have been paid or will be paid to any persons for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the County contract the contractor shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying" in accordance with its instructions, <https://www.state.gov/j/drl/p/149255.htm>.

- b. The contractor shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.



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**8     LEAD BASED PAINT:**

- 8.1 The contractor will assure compliance with the federal regulation in regard to lead-based paint 24 CFR part 35, [https://www.hud.gov/offices/lead/library/enforcement/24CFR35\\_SubpartA.pdf](https://www.hud.gov/offices/lead/library/enforcement/24CFR35_SubpartA.pdf). The Regulation ("Requirements for Notification, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance," a final regulation published by HUD On September 15, 1999) establishes procedures for evaluating whether a hazard may be present, controlling or eliminating the hazard, and notifying occupants of what was found and what was done in such housing. The Regulation does not have any substantive effect on the lead-based paint disclosure rule, which was issued jointly by HUD and the U.S. Environmental Protection Agency in 1996.

**9     PUBLIC RECOGNITION OF CONSOLIDATED COMMUNITY FUNDING POOL:**

- 9.1 All published material, written records, news releases, public statements, stationery, brochures, signage or other medium disseminating information developed for the program that is described in the proposal must be originally developed material (unless otherwise specifically provided in the resulting contract) be dated and given credit to the Fairfax County Consolidated Community Fund Pool, the U.S. Department of Housing and Urban Development Community Development Block Grant, and/or Community Services Block Grant (as applicable) as having made the program/project possible. When material, not originally developed, is included in a report or publication, it shall have the source identified. This identification may be in the body of the publication, public statement, or report or included as a footnote. This provision is applicable when the written material is in a verbatim or extensive paraphrase format.

**10    TRADE SECRETS/PROPRIETARY INFORMATION:**

- 10.1 Trade secrets or proprietary information submitted by the offeror in connection with a procurement transaction shall not be subject to public disclosure under the Virginia Freedom of Information Act; however, the offeror must invoke the protections of this section prior to or upon submission of the data or other materials.
- 10.2 The offeror must identify the data or other materials to be protected and state the reasons why protection is necessary. Disposition of material after award(s) should be stated by the offeror.

**11    SUBCONTRACTING:**

- 11.1 If one or more subcontractors are required, the contractor is encouraged to utilize small, minority-owned, and women-owned business enterprises. For assistance in finding subcontractors, contact the Virginia Department of Business Assistance, <http://egov1.Virginia.gov/aboutus.html>, the Virginia Department of Minority Business Enterprise local chambers of commerce and other business organizations.
- 11.2 As part of the contract award, the prime contractor agrees to provide the names and addresses of each subcontractor, that subcontractor's status as defined by Fairfax County, as a small, minority-owned and/or woman-owned business, and the type and dollar value of the subcontracted goods/services provided.

**12    ORDER OF PRECEDENCE:**

- 12.1 In the event of conflict, the Acceptance Agreement (provided at contract award) and the Special Provisions of this contract shall take precedence over the General Conditions and Instructions to Bidders (Appendix A), included herein.



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**13 PURCHASE ORDER:**

- 13.1 A purchase order will be issued to the contractor on behalf of the County departments ordering the items/services covered under the contract. The issued purchase order will become an integral part of the contract. The purchase order indicates that sufficient funds have been obligated as required by Title 15.2-1238 of the Code of the Commonwealth of Virginia. For contracts funded by more than one funding source, a purchase order will be issued to the contractor for each funding source.
- 13.2 The purchase order does not supersede any provisions of the resulting contract. Performance time and dates are determined solely by the contract and any modification thereto.
- 13.3 Performance under this contract is not to begin until receipt of the purchase order, Procurement Card order, or other notification to proceed by the County Purchasing Agent and/or County agency to proceed. Purchase requisitions shall not be used for placing orders.

**14 NEWS RELEASE BY VENDORS:**

- 14.1 As a matter of policy, the County does not endorse the products or services of a contractor. News releases concerning any resultant contract from this solicitation will not be made by a contractor without the prior written approval of the County. All proposed news releases will be routed to the Purchasing Agent for review and approval.

**15 PAYMENTS:**

- 15.1 The County will issue advance payments upon completion of the request for payment form. Payments will be based on actual expenditures as allowed in the awarded program/project budget and upon completion, acceptance, and approval by the County of performed tasks.
- 15.2 Upon any rescission, revocation, termination or suspension of said contract, all unobligated funds will revert to Fairfax County as soon as possible but no later than 30 days from the date of such rescission, revocation, termination or suspension.
- 15.3 If the Purchasing Agent determines that payments have been made to the contractor, the employees, assignees or sub-grantees for non-allowable expenses, or for work performed that is not in conformity with this contract or Commonwealth of Virginia Department of Social Services Regulations, the Purchasing Agent may withhold such amounts from the next advance made to the contractor.

**16 ELECTRONIC PAYMENT OPTION:**

- 16.1 The Vendor ACH Payment Program of Fairfax County allows payments to be deposited directly to a designated financial institution account. Funds will be deposited into the account of your choice automatically and on time. Payment information (confirmation of payments) is provided via email and all transactions are conducted in a secure environment. The program is totally free as part of the Department of Finance's efforts to improve customer service. For more information or to obtain a Vendor Agreement (ACH credits), please contact the Department of Finance at 703-324-3122 or via email to [ACHpayments@fairfaxcounty.gov](mailto:ACHpayments@fairfaxcounty.gov). A copy may also be picked up at the Department of Procurement and Material Management.

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**17 EQUIPMENT AND PERSONAL PROPERTY:**

- 17.1 Equipment is defined as an article of equipment equal to or in excess of \$5,000 and having a useful life of more than one year. The subrecipient utilizing Community Services Block Grant (CSBG) funds shall keep written documentation of any acquisitions purchased and up-date the documentation if additional property or equipment is acquired. The written documentation shall include, but not be limited to: date of acquisition, description of product, serial number, ID number, physical location, cost, and name and phone number of individual using or responsible for the equipment. Equipment purchased under this agreement shall be retained by the subrecipient during the period of performance of the agreement.
- 17.2 Use: Equipment and personal property shall be used by the contractor in the program/project for which it was acquired as long as needed, whether or not the program/project continue(s) to be supported by the County except for that property purchased through Community Services Block Grant funds which must be returned to the County upon program/project termination unless otherwise approved by the Commonwealth of Virginia Office of Community Services.
- 17.3 Disposition: When no longer needed for the County contract, disposition of any equipment or personal property of any kind purchased with Community Development Block Grant funding shall be determined by the County consistent with provisions of 24 CFR 570.502 (see, [http://edocket.access.gpo.gov/cfr\\_2009/aprqr/pdf/24cfr570.502.pdf](http://edocket.access.gpo.gov/cfr_2009/aprqr/pdf/24cfr570.502.pdf)), AND 85.32 AND Circular A-110, except:
- a. In all cases in which personal property is sold, the proceeds shall be program/project income
  - b. Personal property not needed by the contractor for CCFP activities shall be transferred to the County or shall be retained after submitting compensation to the County for the program/project
  - c. Compensation for items of equipment or personal property retained or sold shall be an amount calculated by multiplying the current market value or proceeds from sale by the percentage of County funds provided on the original costs of equipment or personal property.
- 17.4 Management and Requirements: Procedures for managing equipment (including replacement equipment) and personal property, whether acquired in whole or in part with contract funds, until disposition takes place shall, as a minimum, meet the following requirements:
- a. Written notification must be given to the County within seven (7) calendar days after delivery to the contractor of equipment or personal property in order for the County to effect identification and recording for inventory purposes. Property records must be maintained that include a description of property, a serial number, or other identification number, the source of property, who holds title, the acquisition date, and cost of the property, percentage of County funds in the cost of the property, the location, use and condition of property, and any ultimate disposition data including the date of disposal and sale price of the property.
  - b. A physical inventory of the property must be taken and the results reconciled with the property records at least once a year.
  - c. A control system must be developed to ensure adequate safeguards to prevent loss, damage, or theft of the property. Any loss, damage, or theft shall be investigated by the contractor and reported to the County.
  - d. Adequate maintenance procedures must be developed to keep the property in good condition.

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- e. If the contractor is authorized or required to sell the property, proper sales procedures must be established to ensure the highest possible return.
  - f. Equipment and personal property must be used to meet one of the national objectives in 24 CFR 570.208 in perpetuity. The County or its designee may, at its discretion, amend the term of use, but it shall never be less than five years for any real property acquired or improved in whole or in part using CCFP funds in excess of \$25,000; or disposed of in a manner that results in the County's being reimbursed in the amount of the current fair market value of the property less any portion of the value attributable to expenditures of non-CCFP funds for acquisition, or improvement of, the property.
- 17.5 Change in Use: In the case of acquisition, or the improvement of the real property or planned use of any such property (including beneficiaries of such use) from its original approved purpose, the contractor shall notify the County in writing for the County's written prior approval to change of use. The calculation of any funds and/or monies, which may be due hereunder as a result of any change in use, shall be made at the sole discretion of the County or its designee and this provision shall apply to the property in perpetuity unless the term is amended in writing by the County or its designee.
- 17.6 Program/Project Benefit: The contractor agrees that the award, plus any monies contemplated by 24 CFR 570.503(a)(8) shall be returned to the County, if in the determination of the County, the program/project benefit requirements for use of real property, are not met by the contractor at any time. The calculation of any funds and/or monies which may be due to hereunder shall be determined solely the County or its designee.
- 17.7 Loan Agreement: Proposed Affordable Housing Capital program/projects are subject to internal policies and procedures of the Department of Housing and Community Development (DHCD), which include a review by DHCD's Loan Underwriting Committee (LUC). Prior to disbursement of any amount of award to the contractor for the acquisition, improvement or disposition of any real property to be used for any use or purpose by the contractor, the Fairfax County Redevelopment and Housing Authority ("Authority") and the contractor shall execute a loan agreement which shall contain such terms and conditions as the Authority in its sole discretion shall require.

**18 PROGRAM/PROJECT INCOME:**

- 18.1 Program/project income is defined by HUD in 24 CFR 570.500 Sub-part J - Grant Administration, as amended. Program/project income shall be recorded in accordance with 24 CFR 570.504(c), as amended.
- 18.2 The contractor shall report to the County on a quarterly basis, all program/project income received or accrued during the preceding quarter and return all program/project income as provided in this section herein. If any program/project income is received, the contractor may with prior approval of the County on a case-by-case basis, either (1) reduce the reimbursement of actual expenses by the amount of any income received or (2) return the income to the County for re-appropriation by the County to other eligible activities.
- 18.3 Records of the receipt and disposition of program/project income must be maintained by the contractor in the same manner as required for the award, and reported to the County in the format prescribed by the County.
- 18.4 The contractor shall include this Section in its entirety in all its sub-contracts, which involve other income producing services or activities.

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- 18.5 It is the contractor's responsibility to obtain from the County prior determination as to whether or not income arising directly or indirectly from a resulting contract, or the performance thereof, constitutes program/project income. The contractor is responsible to the County for repayment of any and all amounts determined by the County to be program/project income, unless otherwise approved in writing by the County. The contractor shall make no disbursements of program/project income unless approved by the County.

**19 PERSONNEL POLICIES:**

- 19.1 Personnel policies shall be established by the contractor and shall be available for examination by the County.
- 19.2 The contractor shall establish and maintain job descriptions indicating duties of personnel involved in the program/project, and shall be available for examination by the County, or any agent thereof. The contractor shall not make any material changes to the personnel for the program/project that would materially adversely affect the program/project or the contractor's obligations hereunder. Notwithstanding the foregoing, if for reasons outside the contractor's control the personnel responsible for operating the program/project should change, the contractor shall promptly notify the County, identifying the potential impact of such change and the action the contractor intends to take. Thereafter, the contractor, with the consent of the County, shall take such action as may be necessary to assure that the program/project shall continue to operate in a proper manner in accordance with all of the requirements herein.

**20 CONTRACTOR BUSINESS DOCUMENTS:**

- 20.1 The contractor shall forward copies of non-profit status documents, Articles of Incorporation, by-laws, and current list of Board of Directors to the County simultaneous with or prior to the contractor's execution of the contract, and in any event prior to disbursement of any funds hereunder. The contractor affirms its tax status is as set forth in its Internal Revenue Service determination letter and will notify the County within fifteen (15) days of revocation or modification of exemption. Revocation or modification of the contractor's exemption status may result in termination of the contract.

**21 CRIMINAL BACKGROUND CHECKS:**

- 21.1 The contractors providing service to youth or other vulnerable populations must have Criminal Background Records checks through the State Police and Child Protective Services (CPS) for all employees and volunteers who are directly or indirectly providing services to clients in Fairfax County Public Schools and/or communities. If it is known that the employee or volunteer has moved from another state and has worked with youth or other vulnerable populations within one year prior to his or her employment or volunteering, this state must also be checked. Records check request forms are to have been submitted to the State Police and Child Protective Services by time of award and are to be completed within a 45-day time period.

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**22 HIPPA COMPLIANCE:**

- 22.1 Fairfax County Government has designated certain health care components as covered by the Health Insurance Portability and Accountability Act of 1996. The contractor will be designated a business associate pursuant to 45 CFR part 164.504(e) of those agencies identified as health care components of the County, including the Fairfax-Falls Church Community Services Board, upon award of contract. The contractor must adhere to all relevant federal, state, and local confidentiality and privacy laws, regulations, and contractual provisions of the Fairfax County Business Associate agreement. These laws and regulations include, but are not limited to: (1) HIPAA – 42 USC 201, et seq., and 45 CFR Parts 160 and 164; and (2) VA Code – Title 32.1, Health, § 32.1-1 et seq. The contractor shall have in place appropriate administrative, technical, and physical safeguards to ensure the privacy and confidentiality of protected health information.
- 22.2 Further information regarding HIPAA Compliance is available on the County's website at <http://www.fairfaxcounty.gov/HIPAA>.

**23 DRIVER'S LICENSE CHECKS:**

- 23.1 Employees of contractors transporting Fairfax County Public School students or other program clients must have a valid driver's license. The contractor must conduct a driver's license background check for employees providing transportation to Fairfax County Public School students or other program clients prior to the occurrence of such transportation. The driver's license check must not show more than two moving violations or chargeable accidents within a three-year period neither of which may involve a D.W.I. or D.U.I.

**24 DISBURSEMENT OF FUNDS:**

- 24.1 If an advance made under the contract exceeds approved expenditures, the balance of the advance shall be withheld from subsequent disbursements, if any, or shall be refunded to the County by the contractor. No installment shall be paid until the applicable fiscal statement and progress reports of the contractor have been submitted. No subsequent advancements or payments shall be paid until the applicable fiscal and program/project reports of the contractor have been received and accepted by the County. Any funds not disbursed by the County during the term of a resulting contract may, at the sole and absolute discretion of the County, be disbursed following the expiration of the resulting contract for services provided or expenses incurred but not reimbursed during the term of the resulting contract.
- 24.2 Upon termination of the contract, should any expense or charge for which payment has been made be subsequently disallowed or disapproved as a result of any auditing or monitoring by the County, the United States Department of Housing and Urban Development, the United States Department of Health and Human Services of the Commonwealth of Virginia Department of Social Services, the contractor will refund such amount to the County within ten (10) working days after written notice to the contractor specifying the amount disallowed. Refunds of disallowed costs may not be repaid from the funds or any other funds paid to the contractor by or through the County.

**25 CONDITIONS FOR RELIGIOUS ORGANIZATIONS:**

- 25.1 In addition to, and not in substitution for, other provisions of the contract regarding the provision of public services with County funds, the contractor agrees that, if applicable, in connection with such services to:
- a. represent that it is, or may be deemed to be a religious or denominational institution or organization or an organization operated for religious purposes which is supervised or controlled by or in connection with a religious or denominational institution or organization;

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- b. not discriminate against any employee or applicant for employment on the basis of religion and will not limit employment or give preference in employment to persons on the basis of religion;
- c. not discriminate against any person applying for such public services on the basis of religion and will not limit such services or give preference to persons on the basis of religion;
- d. provide no religious instruction or counseling, conduct no religious worship or services, engage in no religious proselytizing, and exert no other religious influence in the provision of such services;
- e. that the funds received under the resulting contract shall not be used to construct, rehabilitate, or restore any facility which is owned by the contractor and in which the public services are to be furnished, provided, however that minor repairs may be made if such repairs (1) are directly related to the public services, (2) are located in the structure used exclusively for non-religious purposes, and (3) constitute in dollar terms only a minor portion of the County expenditures for the public services.

25.2 The contractor agrees, covenants, and represents that no funds under this contract shall be used to contract, rehabilitate, maintain or restore religious structures (including those which may be historic properties) currently used for religious purposes. Furthermore, no funds shall be used to construct, acquire, rehabilitate, maintain, or restore structures or other real property owned by "pervasively sectarian" organizations whether or not the property is used for religious services or instruction or is used in any other way for religious activities.

**26    REVERSION OF ASSETS:**

26.1 Upon termination of the contract, the contractor shall immediately transfer to the County any County-allocated funds on hand at the time of expiration and any accounts receivable attributable to the use of County, Community Services Block Grant and/or Community Development Block Grant.

**27    CHANGES AND AMENDMENTS:**

27.1 Fairfax County may, at any time, by written order, require changes in the services to be performed by the contractor. If such changes cause an increase or decrease in the contractors cost of, or time required for, performance of any services under this contract, an equitable adjustment shall be made and the contract shall be modified in writing accordingly. The County Purchasing Agent must approve all work that is beyond the scope of this Request for Proposal.

27.2 No services for which an additional cost or fee will be charged by the contractor shall be furnished without the prior written authorization of the Fairfax County Purchasing Agent.

27.3 Any alterations, additions, or deletions to the terms of a resulting contract shall be by amendment in writing and executed by both parties.

27.4 Upon prior written approval of the County, the contractor may make transfers between or among line items within budget categories of the resulting contract budget provided that:

- a. Such request for the budget revision shall be in writing in a format prescribed by the County and shall precede any actual transfer or reallocation of funds.
- b. The transfers will not increase the total monetary obligation of the County under the contract.
- c. The transfers will not materially change the nature, intent, or scope of the program/project funded under the contract.



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- 27.5 It is understood and agreed by the parties hereto that changes in the state, federal or local laws or regulations pursuant hereto may occur during the term of the contract. Any such modifications are to be automatically incorporated into the contract without written amendment hereto and shall become a part of the contract on the effective date specified by the law or regulation.
- 27.6 The contractor agrees to notify the County, in writing, of any proposed change in the physical location for work performed under the contract at least thirty (30) days calendar days in the advance of the change.

**28 COUNTY OBLIGATIONS:**

- 28.1 Limit of Liability: Notwithstanding any other provision of the contract, the total of all payments and other obligations made or incurred by the County hereunder shall not exceed the contract amount.
- 28.2 Measure of Liability: In consideration of full and satisfactory performance of services hereunder by the contractor, the County shall make payments to the contractor based on the budget delineated in the Memorandum of Negotiation, subject to the limitation and provisions set forth in the resulting contract.
- a. It is expressly understood and agreed by the parties hereto that the County's obligations hereunder are contingent upon the actual receipt by the County of federal and state funds and County appropriation of funds to pay the permissible expenses provided herein.
  - b. It is expressly understood that the contract in no way obligates the other funds within the General Fund or any other monies or credits of the County of Fairfax.
  - c. The County shall not be liable for any cost or portion thereof which:
    1. has been paid, reimbursed or is subject to payment or reimbursement from any other source,
    2. was incurred prior to the contract award date,
    3. is not in strict accordance with the terms of the contract including all exhibits attached hereto,
    4. has not been billed to the County within thirty (30) calendar days after (1) the date the contractor is initially billed therefore, or (2) the date the contract terminates,
    5. are deemed unallowable, including:
      - a. Costs defined as unallowable by Federal and State laws and regulations and within this RFP.
      - b. Public relations and advertising costs unless specifically required by the contract.
      - c. Bad debts
      - d. Contingencies (a possible future event arising from presently known or unknown causes, the outcome of which is indeterminable at the present time)
      - e. Contributions or donations
      - f. Entertainment costs (amusements, social activities, tickets to shows or sports events, meals, lodging, rentals, transportation, and gratuities)
      - g. Fines, penalties, and mis-charging costs (costs of fines and penalties resulting from violations of, or failure of the contractor to comply with, Federal, State, or local laws and regulations)
      - h. Idle facilities and idle capacity
      - i. Interest on borrowing
      - j. Lobbying and political activity costs
      - k. Losses on other contracts



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- 28.3 The County shall not be liable for any cost or portion thereof which is incurred with respect to any activity of the contractor after the County has requested that the contractor furnish data concerning such action prior to proceeding further, unless and until the contractor is thereafter advised by the County to proceed.
- 28.4 The County shall not be obligated or liable under the contract to any party other than the contractor for payment of any monies or for provision of any goods or services.

**29 COMMUNITY SERVICES BLOCK GRANT (CSBG):**

- 29.1 Programs receiving Community Services Block Grant (CSBG) funds are being funded with federal funds as follows:

- a. Federal Awarding Agency: Virginia Department of Social Services (VDSS)
- b. Federal Award Identification Number (FAIN): G-17B1VACOSR
- c. Federal Award Date: TBD
- d. CFDA Number: 93.569 (CSBG) & 93.558 (TANF)
- e. Federal Award Project Description: Community Services Block Grant
- f. Amount of Federal Funds Obligated by this Action: TBD

There are general Federal cost principles that are applicable to all awards made with federal funds. These general principles are outlined in 2 CFR Part 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

- 29.2 The Subrecipient funded through the Community Services Block Grant (CSBG) will be subject to the following provisions:

- a. The subrecipients funded through the Community Services Block Grant (CSBG) under the Economic Opportunity Act of 1964 as amended shall receive and administer awarded funds solely for activities and individuals eligible under the provisions of the Act, this contract and the Federal Public Law 103-252.
- b. Anti-Discrimination: The subrecipients will certify to conform to the of the Federal Civil Rights Act of 1964, as amended, as well as the Virginia Fair Employment Contracting Act of 1975, as amended, where applicable, the Virginians with Disabilities Act, the Americans with Disabilities Act. If the award is made to a faith-based organization, the organization shall not discriminate against any recipient of goods, services, or disbursements made pursuant to the agreement on the basis of the recipient's religion, religious belief, refusal to participate in a religious practice, or on the basis of race, sex, color, national origin, religion, sexual orientation, gender identity, age, political affiliation, disability, or veteran status and shall be subject to the same rules as other organizations that contract with public bodies to account for the use of the funds provided; however, if the faith-based organization segregates public funds into separate accounts, only the accounts and programs funded with public funds shall be subject to audit by the public body. (Code of Virginia, § 2.2-4343.1E).

- 1. During the performance of this agreement, the subrecipient agrees as follows:

- a. The subrecipient will not discriminate against any employee or applicant for employment because of race, sex, color, national origin, religion, sexual orientation, gender identity, age, political affiliation, disability, veteran status, or any other basis prohibited by state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of the subrecipient. The subrecipient agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.

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- b. The subrecipient, in all solicitations or advertisements for employees placed by or on behalf of the subrecipient, will state that such subrecipient is an equal opportunity employer.
  - c. Notices, advertisements and solicitations placed in accordance with federal law, rule or regulation shall be deemed sufficient for the purpose of meeting these requirements.
2. The subrecipient will include the provisions of 1. above in every subcontract or purchase order over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.
- c. Confidentiality of Personally Identifiable Information: The subrecipient assures that information and data obtained as to personal facts and circumstances related to patients or clients will be collected and held confidential, during and following the term of this agreement, and unless disclosure is required pursuant to court order, subpoena or other regulatory authority, will not be divulged without the individual's and the agency's written consent and only in accordance with federal law or the Code of Virginia. Subrecipients who utilize, access, or store personally identifiable information as part of the performance of a contract are required to safeguard this information and immediately notify the Fairfax County of any breach or suspected breach in the security of such information. Subrecipients shall allow Fairfax County to both participate in the investigation of incidents and exercise control over decisions regarding external reporting. Subrecipients and their employees working on this project may be required to sign a confidentiality statement
  - d. Ethics in Public Contracting: The subrecipient certifies that they have not offered or received any kickbacks or inducements from any other contractor, supplier, manufacturer or subcontractor in connection with their bid or proposal, and that they have not conferred on any public employee having official responsibility for this procurement transaction any payment, loan, subscription, advance, deposit of money, services or anything of more than nominal value, present or promised, unless consideration of substantially equal or greater value was exchanged.
  - e. Immigration Reform and Control Act of 1986: The subrecipient certifies that it does not, and shall not during the performance of the agreement for goods and services in the Commonwealth, knowingly employ an unauthorized alien as defined in the federal Immigration Reform and Control Act of 1986.
  - f. Debarment Status: The subrecipient certifies that they are not currently debarred by the Commonwealth of Virginia from entering into a contract for the type of services covered by this Agreement. Subrecipient further certifies that they are not debarred from filling any order or accepting any resulting order, or that they are an agent of any person or entity that is currently debarred by the Commonwealth of Virginia.
  - g. Federal Excluded Parties List: This agreement is being funded in whole or in part by funds granted to grantee by the US Government. Under Federal Executive Order 12549, all contractors receiving individual awards, using federal funds of \$25,000 or more, and all subrecipients, certify that the organization and its principals are not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency from doing business with the federal government. By entering into this agreement, the subrecipient represents that neither the subrecipient nor any of its principal officers are on the Federal Excluded Parties List.

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- h. Human Trafficking Provisions: The Subrecipient will certify that they will comply with the requirements of Section 106(g) of the "Trafficking Victims Protection Act of 2000" (22USC 7104). The full text of this requirement is found at: <https://www.acf.hhs.gov/grants/award-term-and-condition-for-trafficking-in-persons>
- i. Antitrust: By entering into an agreement, the subrecipient conveys, sells, assigns, and transfers to the Commonwealth of Virginia all rights, title and interest in and to all causes of action it may now have or hereafter acquire under the antitrust laws of the United States and the Commonwealth of Virginia, relating to the particular goods or services purchased or acquired by the Commonwealth of Virginia under said agreement.
- j. Same-Sex Marriage Provisions: In accordance with the decision in United States vs. Windsor (133 S. Ct. 2675 (June 26, 2013)); Section 3 of the Defense of Marriage Act, codified at 1 USC 7, in any grant-related activity in which family, marital, or household considerations are, by statute or regulation, relevant for purposes of determining beneficiary eligibility or participation, grantees must treat same-sex spouses, marriages, and households on the same terms as opposite sex spouses, marriages, and households, respectively. "Same-Sex Spouses" means individuals of the same sex who have entered into marriages that are valid in the jurisdiction where performed, including any of the 50 states, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. "Same-Sex Marriages" means marriages between two individuals validly entered into in the jurisdiction where performed, including any of the 50 States, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. "Marriage" does not mean registered domestic partnerships, civil unions or similar formal relationships recognized under the law of the jurisdiction of celebration as something other than a marriage.
- k. Smoke Free Environment: The subrecipient certifies to the Commonwealth that it will Comply with the requirements of Title XII of Public Law 103-227, the "PRO-KIDS Act of 1994", which requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used for the provisions of health, day care, education, or library services to children under the age of 18, if the services are funded by federal programs either directly or through State or local governments, by federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity. Additionally, the subrecipient certifies that it will include the above language in any subawards that contain provisions for children's services.
- l. Availability of Funds: It is understood and agreed between the parties herein that the Department of Procurement and Material Management shall be bound hereunder only to the extent of the funds available for this contract.
- m. The contractor will be responsible for completely supervising and directing the work under the contract and all subcontractors that he may utilize, using his best skill and attention. Subcontractors who perform work under this contract shall be responsible to the contractor. The subrecipient is fully responsible for the acts and omissions of his subcontractors and of the persons employed by them as he is for the acts and omissions of his employees.

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- n. Ownership of Material: Ownership of all data, material and documentation originated and prepared for the State pursuant to the agreement shall belong exclusively to the State and be subject to public inspection in accordance with the Virginia Freedom of Information Act. Trade secrets or proprietary information submitted by a subrecipient shall not be subject to public disclosure under the Virginia Freedom of Information Act; however, the subrecipient must invoke the protection of this section prior to or upon submission of the data or other materials, and must identify the data or other materials to be protected and state the reasons why protection is necessary.
- o. Any reports, studies, photographs, negatives, films, videos, or other documents prepared by the subrecipient in the performance of its obligations under this agreement shall be the exclusive property of the grantee and all such materials shall be remitted to the grantee upon completion, termination or cancellation of this agreement. The subrecipient shall not use, willingly allow or cause to have such materials used for any purpose other than performance of the subrecipient's obligations under this agreement without the prior written consent of the grantee. Any materials produced under this agreement must bear a statement that the project was supported by the grantee and identify the title of the funding source.

**30 COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG):**

- 30.1 Each year since FY 1976, Fairfax County has received Community Development Block Grant (CDBG) funds from the United States Department of Housing and Urban Development (HUD) under Title I of the Housing and Community Development Act of 1974, as amended (the "Act"). The County's Plan for use of CDBG funding in FY 2018 is reported to HUD in the Consolidated Plan One-Year Action Plan for FY 2018 ("Consolidated Plan"). The County anticipates receiving CDBG funding for FY 2019 and FY 2020, for which planned uses will be reported to HUD in future Consolidated Plans.

Contractors funded through CDBG will be subject to the following provisions:

- a. Funds: Contractors funded through CDBG shall receive and administer the funds solely for activities eligible under the contract, and applicable federal and state laws, rules and regulations, specifically including 24 CFR 570 Subpart C of the Code of Federal Regulations, and shall use such funds for no other purpose. Any and all obligations of the County under this contract are contingent on the continuing appropriation and availability of CDBG funds.
- b. The Contractor shall be bound by the attached Federal Grant Terms and Conditions (Pages 61-71) without exception.

30.2 Compliance with Federal, State and Local laws and other requirements:

- a. The contractor assures and certifies that it will comply with the requirements of the Act, and with the regulations promulgated thereunder and codified at 24 CFR, which in no way is meant to constitute a complete compilation of all duties imposed upon the contractor by law or administrative ruling or to narrow the standards which the contractor must follow. To the extent applicable, the contractor shall comply with OMB, Circulars A-87, A-102, A-110, A-122, as amended, A-133, as amended, the Davis-Bacon Act (40 USC 276a et seq.), as amended, and as supplemented by the Department of Labor regulations (29 CFR Part 5, as amended), the Copeland Anti-Kickback Act (18 USC 874), as amended, and as supplemented by Department of Labor regulations (29 CFR Part 3, as amended), the Contract Work Hours and Safety Standards Act (40 USC 327 et seq.), as amended, and as supplemented by Department of Labor regulations (29 CFR Part 5, as amended); 24 CFR Part 135, Economic Opportunities for Low and Very Low Income Persons; Executive Order 11246 (Equal Opportunity), as amended, and as supplemented by Department of Labor regulations (41 CFR, chapter 60, as amended); and the Uniform Relocation

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Assistance and Real Property Acquisition Policies Act of 1970 (42 USC 4601 et seq., as amended and Section 104(d) of the Act), and in accordance with 24 CFR Part 42, as amended. In addition, the contractor shall comply with applicable uniform administrative requirements, as described in 24 CFR 570.502 including 24 CFR Part 84, Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, and agrees to comply with environmental review procedures under 24 CFR 570.200(a)(4).

- b. The contractor further assures and certifies that if the regulations and issuances promulgated pursuant to the Act are added to, amended or revised, it shall comply with them to the extent applicable or notify the Department of Housing and Community Development (HCD) as provided in this contract.
- c. The contractor shall comply with all applicable laws and ordinances, rules and regulations applicable to the contractor and the services to be performed by the contractor, including without limitation the provisions of Title 36 of the Code of Virginia of 1950, as amended, and all other laws, ordinances, rules and regulations of the Commonwealth of Virginia and the County of Fairfax, including Chapter 11, Human Rights, of the Code of the County of Fairfax, Virginia.

**30.3 Access to and Inspection of Work:**

- a. HCD, the Comptroller General of the U.S., or any of their duly authorized County or federal representatives, shall have access to any books, documents, papers and records of the contractor which are directly pertinent to a specific program/project for the purpose of making audits, examinations, excerpts and transcriptions.

**30.4 Conflicts and Disclosure of Personal Interest:**

- a. Every officer, director, or employee of the contractor shall disclose in writing to HCD prior to any transaction being entered into by the contractor with any other corporation, firm, association, or entity if such person has a personal interest in such corporation, firm, association, or entity. The contractor shall certify, prior to disbursement of any funds under this contract, and maintain documentation, that all persons covered hereof have been made aware of disclosure requirements as provided under this Section. A failure to disclose shall be a basis for the County, at its sole discretion, to discontinue funding and take any further action the County deems appropriate in accordance with the provisions of this contract. The contractor shall be responsible for assuring compliance with the disclosure of personal interest requirements as provided in this Contract.
- b. Applicability: (1) In the procurement of supplies, equipment, construction, and services by the contractor, the conflict of interest provisions in 24 CFR 85.36 and OMB Circular A-110, respectively, shall apply; (2) In all cases not governed by 24 CFR 85.36 and OMB Circular A-110, the provisions of this Section shall apply. Such cases include the acquisition and disposition of real property and the provision of assistance by the contractor, or to individuals, businesses or other private entities under eligible activities which authorize such assistance (e.g., rehabilitation, preservation, and other improvements of private properties of facilities pursuant to 570.202, or grants, loans and other assistance to businesses, individuals and other private entities pursuant to (570.203 or 570.204).
- c. Directors, officers and employees of the contractor shall comply with all requirements of State and Local Governments Conflicts of Interest Act, Va. Code Ann. §§ 2.1-639.1, *et seq.* ("Conflicts of Interest Act"), as the same may be amended from time to time. Conflicts prohibited. Except for the use of CDBG funds to pay salaries and other related administrative or personnel costs, the general rule is that no persons described in this Section who exercise or have exercised any functions or responsibilities with respect to CDBG activities assisted under this part or who are in a position to participate in a



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decision making process or gain inside information with regard to such activities, may obtain or benefit from a financial interest or benefit from a CDBG assisted activity, or have an interest in any contract, subcontract or agreement with respect thereto, or the proceeds thereunder, either for themselves or those with whom they have family or business ties, during their tenure or for one year thereafter. For purposes of the Section, subject to amendments to the Conflicts of Interest Act, the following definitions shall apply: "Personal interest" is defined as a personal and financial benefit or liability accruing to an officer or employee or to a member of his/her immediate family. Such interest shall exist by reason of: (i) ownership in a business if the ownership interest exceeds three percent of the total equity of the business; (ii) annual income that exceeds, or may reasonably be anticipated to exceed, \$10,000 from ownership of real or personal property or a business; (iii) salary, other compensation, fringe benefits, or benefits from the use of property, or any combination thereof, paid or provided by a business that exceeds, or may reasonably be anticipated to exceed, \$10,000 annually; (iv) ownership of real or personal property if the interest exceeds \$10,000 in value and excluding ownership in a business, income, salary, other compensation, fringe benefits from the use of property; or (v) personal liability incurred or assumed on behalf of a business if the liability exceeds three percent of the asset value of the business. "Immediate family" means: (i) a spouse and (ii) any other person residing in the same household as the officer or employee, who is a dependent of the officer or employee or of whom the officer or employee is a dependent." "Dependent" means a son, daughter, father, mother, brother, sister or other persons whether or not related by blood or marriage, if such person receives from the officer or employee, or provides to the officer or employee, more than one-half of his/her financial support. "Personal interest in a transaction" means a personal interest of an officer or employee in any matter considered by his/her agency. Such personal interest exists when an officer or employee or a member of his/her immediate family has a personal interest in property or a business, or represents any individual or business and such property, business or represented individual or business: (i) is the subject of the transaction or (ii) may realize a reasonably foreseeable direct or indirect benefit or detriment as a result of the action of the agency considering the transaction.

- d. When procuring personal and real property or goods and services under this contract, no employee, officer or agent of the County, Fairfax County Redevelopment and Housing Authority (FCRHA), or contractor shall participate in selection, or in the award or administration of a contract supported by federal funds if a conflict of interest, real or apparent, would be involved. Such a conflict would arise when any one of the following has a financial or other interest in the firm selected for award: an employee, officer or agent, or any member of his or her immediate family, or his or her partner, or an organization which employs, or is about to employ, any of the foregoing. The contractor's officers, employees or agents will neither solicit nor accept gratuities, favors or anything of monetary value from contractors; potential contractors, or parties to sub-agreements. To the extent permitted by state and local law or regulations, penalties, sanctions, or other disciplinary actions may be taken as deemed appropriate for violations of such standards by the contractor's officers, employees, or agents, or by its contractors or their agents. The County and the FCRHA may in regulation provide additional prohibitions relative to real, apparent, or potential conflicts of interest.
- e. Persons covered. The conflict of interest provisions of this Section apply to any person who is an employee, agent, consultant, officer, or elected official or appointed official of the County, or of any designated public agencies, the contractor or other recipients receiving funds under the Act.

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- f. Exceptions: threshold requirements. Upon the written request of the County, HUD may grant an exception to the provisions of this Section on a case-by-case basis if it determines that such an exception will serve to further the purposes of the Act and the effective and efficient administration of the County's programs/projects. An exception may be considered only after the County has provided the following:
1. A disclosure of the nature of the conflict accompanied by an assurance that there has been public disclosure of the conflict and a description of how the public disclosure was made; and
  2. An opinion of the County's attorney that the interest for which the exception is sought would not violate the Conflicts of Interest Act or any other state or local law.

**30.5 Procurement:**

- a. When procuring property, goods and services, the FCRHA may require that the contractor follow the FCRHA's or County's procurement procedures which reflect applicable state and local laws and regulations and which shall conform to applicable federal law, regulations and standards. The contractor may consult HUD on any procurement to assure conformance with applicable laws, regulations and standards.

**30.6 Equal Employment Opportunity:**

- a. During the performance of the contract, the contractor shall comply fully with all federal civil rights and equal opportunity laws and regulations, as the same may be amended from time to time, including but not limited to the Final Rule for Nondiscrimination Based on Handicap in Federally Assisted Programs/Projects and Activities (Section 504), 24 CFR Part 8; the Americans with Disabilities Act, (See Section 30 below); Title VI of the Civil Rights Act of 1964; Title VIII of the Civil Rights Act of 1968; Section 109 of the ACT; Chapter 11, Human Rights, of the Code of the County of Fairfax, VA; The Fair Housing Amendments Act of 1988; Equal Employment Opportunity Executive Order 11246; and Section 3 of the Housing and Urban Development Act of 1968, Economic Opportunities for Low and Very Low Income Persons. The contractor also agrees as follows:
1. The contractor will not discriminate against any employee or applicant for employment because of race, religion, color, sex, or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training; including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.
  2. The contractor, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin, that the contractor is an equal opportunity employer (EOE).
  3. The contractor will comply with all provisions of Executive Order No. 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
  4. The contractor will include the provisions of (1), (2), and (3) above in every subcontract or purchase order of over \$5,000, to assure that the provisions hereof will be binding upon every subcontractor or vendor.



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**30.7 Acquisition, Ownership and Use of Real Property:**

- a. Acquisition and/or Post-Acquisition Rehabilitation: In the case of acquisition of real property, the contractor certifies that the CDBG funding disbursed under this contract shall not be used for the acquisition and/or the post-acquisition rehabilitation of any real property which, at the time that contractor first communicates acquisition interest to the seller, is occupied by anyone other than the owner of the property.
- b. Use and Disposition:  
All real property under the contractor's control that was acquired or improved in whole or in part with CDBG or County funds shall meet the following requirements:
  1. Used to meet one of the national objectives in 24 CFR 570.208 in perpetuity. The FCRHA may, at its discretion, amend the term of use, but it shall never be less than five years for any real property acquired or improved in whole or in part using CDBG or County funds in excess of \$25,000; or
  2. Disposed of in a manner that results in the FCRHA being reimbursed in the amount of the current fair market value of the property less any portion of the value attributable to expenditures of non-CDBG or non-County funds for acquisition, or improvement of, the property.
- c. Change in Use:  
In the case of acquisition, or improvement of real property, prior to any change in use of the real property or planned use of any such property (including beneficiaries of such use) from its original approved purpose, the contractor shall notify the FCRHA in writing for the FCRHA's written prior approval to the change of use. The calculation of any funds and/or monies, which may be due hereunder as a result of any change in use, shall be made at the sole discretion of the FCRHA or its designee and this provision shall apply to the property in perpetuity unless the term is amended in writing by the FCRHA or its designee.
- d. Program/Project Benefit:  
The contractor agrees that the funds, plus any monies contemplated by 24 CFR 570.503(a)(8) shall be returned to the FCRHA, if, in the determination of the FCRHA, the program/project benefit requirements for use of real property, are not met by the contractor at any time. The calculation of any funds and/or monies which may be due hereunder shall be determined in accordance with the terms of the acquisition and/or rehabilitation funding agreement between the contractor and FCRHA.
- e. Loan Agreement:  
Prior to disbursement of any amount of funds to the contractor for the acquisition and/or rehabilitation of any real property to be used for any use or purpose by the contractor, the FCRHA and the contractor shall execute a loan agreement which shall contain such terms and conditions as the FCRHA in its sole discretion shall require. Loan underwriting terms are established by the HCD Loan Underwriting Committee.

**30.8 Return of Funds:**

- a. In the case of CDBG-funded programs/projects other than the acquisition or improvement of real property, the contractor agrees that the funds plus any monies contemplated by 24 CFR 570.500 shall be returned to the County, if, in the sole discretion of the County, the program/project benefit requirements of 24 CFR 570, as amended, are not met when due hereunder.

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**31 AMERICANS WITH DISABILITIES ACT REQUIREMENTS:**

- 31.1 Fairfax County Government is fully committed to the Americans with Disabilities Act (ADA) which guarantees non-discrimination and equal access for persons with disabilities in employment, public accommodations, transportation, and all County program/projects, activities and services. Fairfax County government contractors, subcontractors, vendors, and/or suppliers are subject to this ADA policy. All individuals having any County contractual agreement must make the same commitment.

Your acceptance of this contract acknowledges your commitment and compliance with ADA.

- 31.2 Fairfax County is committed to a policy of nondiscrimination in all County programs, services, and activities and will provide reasonable accommodations upon request. Offerors requesting special accommodations should call the Department ADA representative at (703) 324-3201 or TTY 1-800-828-1140. Please allow seven (7) working days in advance of the event to make the necessary arrangements.
- 31.3 The contractor shall comply fully with the Final Rule for Nondiscrimination Based on Handicap in Federally Assisted Program/projects and Activities of the Department of Housing and Urban Development (Section 504), 24 CFR Part 8, effective July 11, 1988, as well as with the Final Rule for Nondiscrimination on the Basis of Disability in State and Local Government Services, Public Law 101-336, 28 CFR Part 35, as the same may be amended from time to time ("ADA"). All of the provisions of Section 504 and the ADA shall apply to this Contract.
- a. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), provides that "No otherwise qualified individual with handicaps in the United States shall, solely by reason of his handicap, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any program/project or activity receiving Federal financial assistance." Communications, program/project accessibility (for both non-housing and housing facilities), equal employment opportunity (including pre-employment) and reasonable accommodation, and physical accessibility (including site and building accessibility) are examples of some of the areas covered by the Section 504 requirements.
  - b. ADA prohibits discrimination on the basis of disability by public entities by protecting qualified individuals with disabilities from discrimination on the basis of disability in the services, program/projects or activities of all state and local governments. It extends the prohibition of discrimination in federally assisted program/projects established by Section 504 to all activities of state and local governments, including those that do not receive federal financial assistance. The ADA adopts the general prohibitions of discrimination established under Section 504 as well as the requirements for making program/projects accessible to individuals with disabilities and for providing equally effective communications. The ADA provides comprehensive civil rights protections to individuals with disabilities in the areas of employment, public accommodations, state and local government services, and telecommunications.

**32 ADDITIONAL PROPOSAL INFORMATION:**

- 32.1 The County Purchasing Agent may arrange for discussions with firms submitting proposals, if required, for the purpose of obtaining additional information or clarification.
- 32.2 Offerors are advised that, in the event of receipt of an adequate number of proposals, which, in the opinion of the County Purchasing Agent, require no clarifications and/or supplementary information, such proposals may be evaluated without further discussion. Consequently, contractors should provide complete, thorough proposals with the contractors most favorable terms. Should proposals require additional clarification and/or supplementary information, contractors should submit such additional material in a timely manner.

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- 32.3 Proposals which, after discussion and submission of additional clarification and/or supplementary information, are determined to meet the specifications of this Request for Proposal will be classified as "acceptable". Proposals found not to be acceptable will be classified as "unacceptable" and no further discussion concerning same will be conducted.

**33 PERIOD THAT PROPOSALS REMAIN VALID:**

- 33.1 Proposals will remain valid for a period of two-hundred and ten (210) calendar days after the date specified for receipt of proposals.

**34 DELAYS AND SUSPENSIONS:**

- 34.1 The County may direct the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time deemed appropriate for the convenience of the County. The County will extend the Contractor's time of completion by a period of time that in the discretion of the Purchasing Agent is reasonably suited for completion of work. The County may further amend the contract by mutual agreement for any increase in the cost of performance of the contract (excluding profit) resulting solely from the delay or suspension of the contract. No adjustment shall be made under this clause for any delay or interruption resulting from any other cause, including the fault or negligence of the Contractor.
- 34.2 If the County does not direct the Contractor, in writing, to suspend, delay, or interrupt the contract, the Contractor must give the County Purchasing Agent written notice if Fairfax County fails to provide data or services that are required for contract completion by the Contractor. The County may extend the Contractor's time of completion by a period of time that in the discretion of the Purchasing Agent is reasonably suited for completion of work. The County may further amend the contract by mutual agreement for any increase in the cost of performance of the contract (excluding profit) resulting solely from the delay or suspension of the contract. No adjustment shall be made under this clause for any delay or interruption resulting from any other cause, including the fault or negligence of the Contractor.
- 34.3 The Contractor shall continue its work on other phases of the project or contract, if in the sole discretion of the Purchasing Agent such work is not impacted by the County's delay, suspension, or interruption. All changes to the work plan or project milestones shall be reflected in writing as a contract amendment.

**35 DATA SOURCES:**

- 35.1 The County will provide the contractor all available data possessed by the County that relates to this contract. However, the contractor is responsible for all costs for acquiring other data or processing, analyzing or evaluating County data.

**36 SAFEGUARDS OF INFORMATION:**

- 36.1 Unless approved in writing by the County Purchasing Agent, the contractor may not sell or give to any individual or organization any information, reports, or other materials given to, prepared or assembled by the contractor under the final contract.

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**37    STATE CORPORATION COMMISSION IDENTIFICATION NUMBER:**

- 37.1 Pursuant to *Code of Virginia*, §2.2-4311.2 subsection B, an offeror organized or authorized to transact business in the Commonwealth pursuant to Title 13.1 or Title 50 is required to include in its proposal the identification number issued to it by the State Corporation Commission (SCC). Any offeror that is not required to be authorized to transact business in the Commonwealth as a foreign business entity under Title 13.1 or Title 50 or as otherwise required by law is required to include in its proposal a statement describing why the offeror is not required to be so authorized. Any offeror that fails to provide the required information may not receive an award.

**COUNTY OF FAIRFAX**  
**COMMONWEALTH OF VIRGINIA**  
**GENERAL CONDITIONS AND INSTRUCTIONS TO BIDDERS**

(Vendor: The general rules and conditions which follow apply to all purchases and become a definite part of each formal solicitation and resulting contract award issued by the DEPARTMENT OF PROCUREMENT & MATERIAL MANAGEMENT, unless otherwise specified. Bidders or their authorized representatives are expected to inform themselves fully as to the conditions, requirements, and specifications before submitting bids; failure to do so will be at the bidder's own risk and relief cannot be secured on the plea of error.)

Subject to all State and local laws, policies, resolutions, and regulations and all rules, regulations and limitations imposed by legislation of the Federal Government, bids on all solicitations issued by the DEPARTMENT OF PROCUREMENT & MATERIAL MANAGEMENT will bind bidders to applicable conditions and requirements herein set forth unless otherwise specified in the solicitation.

**1. AUTHORITY** -The Purchasing Agent has the sole responsibility and authority for negotiating, placing and when necessary modifying every solicitation, contract and purchase order (except for capital construction projects) issued by the County of Fairfax. In the discharge of these responsibilities, the Purchasing Agent may be assisted by assigned buyers. Unless specifically delegated by the County Purchasing Agent, no other County officer or employee is authorized to order supplies or services, enter into purchase negotiations or contracts, or in any way obligate the government of the County of Fairfax for an indebtedness. Any purchase ordered or contract made which is contrary to these provisions and authorities shall be of no effect and void and the County shall not be bound thereby.

**2. DEFINITIONS-**

**AGENCY:** Any Department, Agency, Authority, Commission, Board or other unit in the Administrative Service of the County.

**BEST VALUE:** As predetermined in the solicitation, means the overall combination of quality, price, and various elements of required services that in total are optimal relative to a public body's needs.

**BID:** The offer of a bidder to provide specific goods or services at specified prices and/or other conditions specified in the solicitation.

**BIDDER/OFFEROR:** Any individual, company, firm, corporation, partnership or other organization bidding on solicitations issued by the Purchasing Agent and offering to enter into contracts with the County. The term "bidder" will be used throughout this document and shall be construed to mean "offeror" where appropriate.

**CONSULTANT SERVICES:** Any type of services required by the County, but not furnished by its own employees, which is in its nature so unique that it should be obtained by negotiation on the basis of demonstrated competence and qualification for the type of service required and at fair and reasonable compensation, rather than by competitive sealed bidding.

**CONTRACTOR:** Any individual, company, firm, corporation, partnership or other organization to whom an award is made by the County.

**COUNTY:** County of Fairfax.

**GOODS:** All material, equipment, supplies, printing, and automated data processing/information technology hardware and software.

**INFORMALITY:** A minor defect or variation of a bid or proposal from the exact requirements of the invitation to bid or the request for proposal which does not affect the price, quality, quantity or delivery schedule for the goods, services or construction being procured.

**INVITATION FOR BID (IFB):** A request which is made to prospective suppliers (bidders) for their quotation on goods or services desired by the County. The issuance of an IFB will contain or incorporate by reference the specifications and contractual terms and conditions applicable to the procurement.

**PROFESSIONAL SERVICES:** Any type of professional service performed by an independent contractor within the practice of accounting, actuarial services, architecture, dentistry, land surveying, landscape architecture, law, medicine, optometry, pharmacy, or professional engineering (which shall be procured as set forth in the Code of Virginia §2.2-4301 in the definition of competitive negotiation at paragraph 3 (a), and in conformance with the Fairfax County Purchasing Resolution).

**PURCHASING AGENT:** The Purchasing Agent employed by the Board of Supervisors of Fairfax County, Virginia.

**REQUEST FOR PROPOSAL (RFP):** A request for an offer from prospective offerors which will indicate the general terms which are sought to be procured from the offeror. The RFP will specify the evaluation factors to be used and will contain or incorporate by reference other contractual terms and conditions applicable to the procurement.

**RESPONSIBLE BIDDER/OFFEROR:** An individual, company, firm, corporation, partnership or other organization having the capability in all respects to perform fully the contract requirements, and also having the moral and business integrity and reliability which will assure good faith performance, and having been prequalified, if required. (Reference paragraph 24, General Conditions and Instructions to Bidders).

**RESPONSIVE BIDDER/OFFEROR:** An individual, company, firm, corporation, partnership or other organization having submitted a bid which conforms in all material respects to the invitation for bid or request for proposal.

**SERVICES:** Any work performed by an independent contractor wherein the service rendered does not consist primarily of acquisition of equipment or materials, or the rental of equipment, materials and supplies.

**SOLICITATION:** The process of notifying prospective bidders that the County wishes to receive bids on a set of requirements to provide goods or services. The notification of County requirements may consist of public advertising (newspaper, County Web Site, or other electronic notification), the mailing of Notices of Solicitation, Invitation for Bid (IFB) or Request for Proposal (RFP), the public posting of notices, issuance of an Open Market Procurement (OMP), or telephone calls to prospective bidders.

**STATE:** Commonwealth of Virginia.

### **CONDITIONS OF BIDDING**

**3. BID FORMS-**Unless otherwise specified in the solicitation, all bids shall be submitted on the forms provided, to include the bid Cover Sheet and Pricing Schedule(s), properly signed in ink in the proper spaces and submitted in a sealed envelope or package. The item pages of the Pricing Schedule which do not include any items for which a bid is required need not be included in the submission of a bid.

Should the bid prices and/or any other submissions differ on the copy of the submitted bid, the ORIGINAL copy shall prevail.

#### **4. LATE BIDS & MODIFICATIONS OF BIDS-**

- a. Any bid/modification received at the office designated in the solicitation after the exact time specified for receipt of the bid/modification is considered a late bid/modification. A late bid/modification will not be considered for award except under the following conditions only:
  1. It was sent by registered or certified mail not later than the fifth (5th) calendar date prior to the date specified for receipt of the bid/modification; or
  2. The bid/modification was sent by mail and it is determined by the County Purchasing Agent that the late receipt was due solely to mishandling by the County after receipt at the address specified in the solicitation.
- b. If an emergency or unanticipated event or closing interrupts or suspends normal County business operations so that bids cannot be received at the County office designated for receipt of bids by the exact time specified in the solicitation, the due date/time specified for receipt of bids will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal County business operations resume.
- c. The official time used for receipt of bids/modifications is the Bid Clerk's time and date stamp clock located in the Department of Procurement and Material Management. All bidders are responsible for ensuring all bids/modifications are received prior to the scheduled due date/time.
- d. A late hand-carried bid, or any other late bid not submitted by mail, shall not be considered for award.

#### **5. WITHDRAWAL OF BIDS-**

- a. A bidder for a public construction contract, other than a contract for construction or maintenance of public highways, may withdraw his or her bid from consideration if the price bid was substantially lower than the other bids due solely to a mistake therein, provided the bid was submitted in good faith, and the mistake was a clerical mistake as opposed to a judgment mistake, and was actually due to an unintentional arithmetic error or an unintentional omission of a quantity of work, labor or material made directly in the compilation of a bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of original work papers, documents and materials used in the preparation of the bid sought to be withdrawn. If a bid contains both clerical and judgment mistakes, a bidder may withdraw his bid from consideration if the price bid would have been substantially lower than the other bids due solely to the clerical mistake, that was an unintentional arithmetic error or an unintentional omission of a quantity of work, labor or material made directly in the compilation of a bid which shall be clearly shown by objective evidence drawn from inspection of original work papers, documents and materials used in the preparation of the bid sought to be withdrawn. The bidder shall give notice in writing to the Purchasing Agent of his or her claim of right to withdraw his or her bid within two (2) business days after the conclusion of the bid opening procedure and shall submit original work papers with such notice.
- b. A bidder for a contract other than for public construction may request withdrawal of his or her bid under the following circumstances:
  1. Requests for withdrawal of bids prior to opening of such bids shall be transmitted to the County Purchasing Agent in writing.



2. Requests for withdrawal of bids after opening of such bids but prior to award shall be transmitted to the County Purchasing Agent, in writing, accompanied by full documentation supporting the request. If the request is based on a claim of error, documentation must show the basis of the error. Such documentation may take the form of supplier quotations, vendor work sheets, etc. If bid bonds were tendered with the bid, the County may exercise its right of collection.
  - c. No bid may be withdrawn under this paragraph when the result would be the awarding of the contract on another bid of the same bidder or of another bidder in which the ownership of the withdrawing bidder is more than five percent.
  - d. If a bid is withdrawn under the authority of this paragraph, the lowest remaining bid shall be deemed to be the low bid.
  - e. No bidder who is permitted to withdraw a bid shall, for compensation, supply any material or labor to or perform any subcontract or other work agreement for the person or firm to whom the contract is awarded or otherwise benefit, directly or indirectly, from the performance of the project for which the withdrawn bid was submitted.
  - f. If the county denies the withdrawal of a bid under the provisions of this paragraph, it shall notify the bidder in writing stating the reasons for its decision and award the contract to such bidder at the bid price, provided such bidder is a responsible and responsive bidder.
  - g. Work papers, documents, and materials submitted in support of a withdrawal of bids may be considered as trade secrets or proprietary information subject to the conditions of the Virginia Freedom of Information Act.
- 6. ERRORS IN BIDS**-When an error is made in extending total prices, the unit bid price will govern. Erasures in bids must be initialed by the bidder. Carelessness in quoting prices, or in preparation of bid otherwise, will not relieve the bidder. Bidders are cautioned to recheck their bids for possible error. Errors discovered after public opening cannot be corrected and the bidder will be required to perform if his or her bid is accepted.
- 7. MAILING OF BIDS** – All bids and proposals submitted in response to a Fairfax County solicitation shall be submitted in a sealed envelope or package identified with the solicitation number, title, bidder's name and address, and due date/time of opening/closing clearly marked on the outside of such envelope or package.
- 8. COMPLETENESS**-To be responsive, a bid must include all information required by the solicitation.
- 9. ACCEPTANCE OF BIDS/BINDING 90 DAYS**-Unless otherwise specified, all formal bids submitted shall be binding for ninety (90) calendar days following bid opening date, unless extended by mutual consent of all parties.
- 10. CONDITIONAL BIDS**-Conditional bids are subject to rejection in whole or in part.
- 11. BIDS FOR ALL OR PART**-Unless otherwise specified by the County Purchasing Agent or by the bidder, the Purchasing Agent reserves the right to make award on all items in the aggregate or on any of the items on an individual basis, whichever is in the best interest of the County. A bidder may restrict his or her bid to consideration in the aggregate by so stating but shall name a single unit price on each item bid. Any bid in which the bidder names a total price for all the articles without quoting a unit price for each and every separate item may not be considered for award.
- 12. AREA BIDS**-For the purchase and delivery of certain goods and services the County may be divided into Areas (e.g., Areas I, II, III, and IV). When such goods and services are included in the Pricing Schedule, bidders may bid on all areas or an individual area. A map showing the areas of the County will be furnished with the solicitation when required.
- 13. TIME FOR RECEIVING BID**-Bids received prior to the time of opening will be securely kept, unopened. The representative of the Purchasing Agent assigned to open them will decide when the specified time has arrived, and no bid received thereafter will be considered, except as provided in paragraph 4, General Conditions and Instructions to Bidders. No responsibility will attach to the Purchasing Agent or his or her representative for the premature opening of a bid not properly addressed and identified. Unless specifically authorized in the solicitation, telegraphic, electronic, or facsimile bids/modifications will not be considered.
- 14. BID OPENING**-All bids received in response to an Invitation for Bid (IFB) will be opened at the date, time and place specified, read publicly, and made available for inspection as provided in paragraph 68, General Conditions and Instructions to Bidders. Tabulations of bids received are posted on the Department of Procurement & Material Management Bulletin Board as well as the County's web site: <http://www.fairfaxcounty.gov/dpmm/bid-tabulations/>. Proposals received in response to a Request for Proposal (RFP) will be made available as provided in paragraph 68, General Conditions and Instructions to Bidders.
- 15. OMISSIONS & DISCREPANCIES**-Any items or parts of any equipment listed in this solicitation which are not fully described or are omitted from such specification, and which are clearly necessary for the completion of such equipment and its appurtenances, shall be considered a part of such equipment although not directly specified or called for in the specifications. Should a bidder find discrepancies or ambiguities in, or omissions from, the solicitation, including the drawings and/or specifications, he or she shall notify the Purchasing Agent at least five (5) days prior to the date set for the opening of bids. If necessary, the Purchasing Agent will send a written addendum for clarification to all bidders no later than three (3) days before the date set for opening of bids. Notifications regarding specifications will not be considered if received within five days of the date set for opening of bids.



**16. RESPONSE TO SOLICITATIONS**-In the event a vendor cannot submit a bid on a solicitation, he or she is requested to return the solicitation cover sheet with an explanation as to why he or she is unable to bid on these requirements.

**17. BIDDER INTERESTED IN MORE THAN ONE BID**-If more than one bid is offered by any one party, either directly or by or in the name of his or her clerk, partner, or other persons, all such bids may be rejected. A party who has quoted prices on work, materials, or supplies to a bidder is not thereby disqualified from quoting prices to other bidders or firms submitting a bid directly for the work, materials or supplies.

**18. TAX EXEMPTION**-The County is exempt from the payment of any federal excise or any Virginia sales tax. The price bid must be net, exclusive of taxes. However, when under established trade practice any federal excise tax is included in the list price, a bidder may quote the list price and shall show separately the amount of federal tax, either as a flat sum or as a percentage of the list price, which shall be deducted by the County. Fairfax County's Federal Excise Tax Exemption Number is 54-74-0127K. Contractors located outside the Commonwealth of Virginia are advised that when materials are picked up by the County at their place of business, they may charge and collect their own local/state sales tax. Materials used in the performance of construction contracts are subject to Virginia Sales/Use Tax as described in Section 630-10-27J of the Virginia Retail Sales and Use Tax Regulations.

**19. PROHIBITION AGAINST UNIFORM PRICING**-The County Purchasing Agent shall encourage open and competitive bidding by all possible means and shall endeavor to obtain the maximum degree of open competition on all purchase transactions using the competitive sealed bidding, competitive negotiation, or open market methods of procurement. In submitting a bid each bidder shall, by virtue of submitting a bid, guarantee that he or she has not been a party with other bidders to an agreement to bid a fixed or uniform price. Violation of this implied guarantee shall render void the bids of participating bidders. Any disclosure to or acquisition by a competitive bidder, in advance of the opening of the bids, of the terms or conditions of the bid submitted by another competitor may render the entire proceedings void and may require re-advertising for bids.

### SPECIFICATIONS

**20. QUESTIONS CONCERNING SPECIFICATIONS**-Any information relative to interpretation of specifications and drawings shall be requested of the Purchasing Agent, in writing, in ample time before the opening of bids. No inquiries, if received by the Purchasing Agent within five (5) days of the date set for the opening of bids, will be given any consideration. Any material interpretation of a specification, as determined by the County Purchasing Agent, will be expressed in the form of an addendum to the specification which will be sent to all prospective bidders no later than three (3) days before the date set for receipt of bids. Oral answers will not be authoritative.

**21. BRAND NAME OR EQUAL ITEMS**-Unless otherwise provided in the invitation for bid, the name of a certain brand, make or manufacturer does not restrict bidders to the specific brand, make or manufacturer named; it conveys the general style, type, character, and quality of the article desired, and any article which the County in its sole discretion determines to be the equal of that specified, considering quality, workmanship, economy of operation, and suitability for the purpose intended, shall be accepted.

**22. FORMAL SPECIFICATIONS**-When a solicitation contains a specification which states no substitutes, no deviation therefrom will be permitted and the bidder will be required to furnish articles in conformity with that specification.

The bidder shall abide by and comply with the true intent of the specifications and not take advantage of any unintentional error or omission, but shall fully complete every part as the true intent and meaning of the specifications and drawings. Whenever the mention is made of any articles, material, or workmanship to be in accordance with laws, ordinances, building codes, underwriter's codes, A.S.T.M. regulations or similar expressions, the requirements of these laws, ordinances, etc., shall be construed as to the minimum requirements of these specifications.

**23. FEDERAL SPECIFICATIONS**-Any Federal Specifications referred to herein may be obtained from the GSA Federal Supply Service Bureau - Specification Section, 470 East L'Enfant Plaza, S.W., Suite #8100, Washington, D.C. 20407 (Voice: 1-202-619-8925, Fax: 1-202-619-8978).

### AWARD

**24. AWARD OR REJECTION OF BIDS**-The Purchasing Agent shall award the contract to the lowest responsive and responsible bidder complying with all provisions of the IFB, provided the bid price is reasonable and it is in the best interest of the County to accept it. Awards made in response to a RFP will be made to the highest qualified offeror whose proposal is determined, in writing, to be the most advantageous to the County taking into consideration the evaluation factors set forth in the RFP. The Purchasing Agent reserves the right to award a contract by individual items, in the aggregate, or in combination thereof, or to reject any or all bids and to waive any informality in bids received whenever such rejection or waiver is in the best interest of the County. Award may be made to as many bidders as deemed necessary to fulfill the anticipated requirements of Fairfax County. The Purchasing Agent also reserves the right to reject the bid of a bidder deemed to be a non-responsible bidder.

In determining the responsibility of a bidder, the following criteria will be considered:

- a. The ability, capacity and skill of the bidder to perform the contract or provide the service required;
- b. Whether the bidder can perform the contract or provide the service promptly, or within the time specified, without delay or interference;
- c. The character, integrity, reputation, judgment, experience and efficiency of the bidder;
- d. The quality of performance of previous contracts or services;

- e. The previous and existing compliance by the bidder with laws and ordinances relating to the contract or services;
- f. The sufficiency of the financial resources and ability of the bidder to perform the contract or provide the service;
- g. The quality, availability and adaptability of the goods or services to the particular use required;
- h. The ability of the bidder to provide future maintenance and service for the use of the subject of the contract;
- i. The number and scope of the conditions attached to the bid;
- j. Whether the bidder is in arrears to the County on debt or contract or is a defaulter on surety to the County or whether the bidder's County taxes or assessments are delinquent; and
- k. Such other information as may be secured by the County Purchasing Agent having a bearing on the decision to award the contract. If an apparent low bidder is not awarded a contract for reasons of nonresponsibility, the County Purchasing Agent shall so notify that bidder and shall have recorded the reasons in the contract file.

**25. NOTICE OF ACCEPTANCE/CONTRACT DOCUMENTS-**A written award (or Acceptance Agreement) mailed (or otherwise furnished) to the successful bidder within the time for acceptance specified in the solicitation shall be deemed to result in a binding contract. The following documents which are included in the solicitation shall be incorporated by reference in the resulting contract and become a part of said contract:

- a. County of Fairfax Solicitation Form/Acceptance Agreement (Cover Sheet) and other documents which may be incorporated by reference, if applicable,
- b. General Conditions and Instructions to Bidders,
- c. Special Provisions and Specifications,
- d. Pricing Schedule,
- e. Any Addenda/Amendments/Memoranda of Negotiations

**26. TIE-BIDS** – If all bids are for the same total amount or unit price (including authorized discounts and delivery times), and if the public interest will not permit the delay of re-advertisement for bids, the County Purchasing Agent is authorized to award the contract to the resident Fairfax County tie bidder whose firm has its principal place of business in the County, or if there be none, to the resident Virginia tie bidder, or if there be none, to one of the tie bidders by drawing lots in public; or the County Purchasing Agent may purchase the goods or services in the open market except that the price paid shall not exceed the lowest contract bid price submitted for the same goods or services. The decision of the County to make award to one or more such bidders shall be final.

**27. PROMPT PAYMENT DISCOUNT-**

- a. Unless otherwise specified in the solicitation, prompt payment discounts requiring payment in less than fifteen (15) days will not be considered in evaluating a bid for award. However, even though not considered in the evaluation, such discounts will be taken if payment is to be made within the discount period.
- b. In connection with any discount offered, time will be computed from the date of delivery of the supplies to the carrier when delivery, inspection and acceptance are at the point of origin; or, from date of delivery, inspection and acceptance at destination; or, from date correct invoice or voucher is received in the office specified by the County, if the latter is later than the date of acceptance. In the event the bidder does not indicate a prompt payment discount, it shall be construed to mean NET 30 days.

For the purpose of earning the discount, payment is deemed to be made as of the date of mailing of the County check or issuance of an Electronic Funds Transfer.

**28. INSPECTION-ACCEPTANCE-**For determining acceptance of supplies in accordance with the provisions of the prompt payment discount paragraph, inspection and acceptance shall be accomplished only after examination (including testing) of supplies and services to determine whether the supplies and services conform to the contract requirements. Acceptance shall occur only after receipt and inspection provided such inspection, as appropriate, is accomplished within a reasonable time.

**29. DEFINITE BID QUANTITIES-**Where definite quantities are specifically stated, acceptance will bind the County to order quantities specified and to pay for, at contract prices, all such supplies or services delivered that meet specifications and conditions of the contract. However, the County will not be required to accept delivery of any balances unordered, as of the contract expiration date, unless the Contractor furnished the Purchasing Agent with a statement of unordered balances not later than ten (10) days after the termination date of the contract.

**30. REQUIREMENT BID QUANTITIES-**On "Requirement" bids, acceptance will bind the County to pay for, at unit bid prices, only quantities ordered and delivered. Where the County specifies estimated quantities, the Contractor shall not be required to deliver more than ten (10) percent in excess of the estimated quantity of each item, unless otherwise agreed upon.

**CONTRACT PROVISIONS**

**31. TERMINATION OF CONTRACTS-**Contracts will remain in force for full periods specified and/or until all articles ordered before date of termination shall have been satisfactorily delivered and accepted and thereafter until all requirements and conditions shall have been met, unless:

- a. Terminated prior to expiration date by satisfactory deliveries of entire contract requirements, or upon termination by the County for Convenience or Cause.
- b. Extended upon written authorization of the Purchasing Agent and accepted by Contractor, to permit ordering of unordered balances or additional quantities at contract prices and in accordance with contract terms.

**32. TERMINATION FOR CONVENIENCE**-A contract may be terminated in whole or in part by the County in accordance with this clause whenever the County Purchasing Agent shall determine that such a termination is in the best interest of the County. Any such termination shall be effected by delivery to the Contractor at least five (5) working days prior to the termination date of a Notice of Termination specifying the extent to which performance shall be terminated and the date upon which termination becomes effective. An equitable adjustment in the contract price shall be made for completed service, but no amount shall be allowed for anticipated profit on unperformed services.

**33. TERMINATION OF CONTRACT FOR CAUSE-**

- a. If, through any cause, the Contractor shall fail to fulfill in a timely and proper manner his or her obligations under this contract, or if the Contractor violates any of the covenants, agreements, or stipulations of this contract, in addition to the County's remedies under the contract and all other rights available at law or in equity, the County shall have the right to immediately terminate this contract. Such termination shall be effected by delivering a notice of termination to the Contractor at any time specifying the effective date of such termination. In such event all finished or unfinished documents, data, studies, surveys, drawings, maps, models, and reports prepared by the Contractor under the contract shall, at the option of the County, become its property and the Contractor shall be entitled to receive just and equitable compensation for any satisfactory work completed on such documents.
- b. Notwithstanding the above, the Contractor shall not be relieved of liability to the County for damages sustained by the County by virtue of any breach of contract by the Contractor for the purpose of set off until such time as the exact amount of damages due to the County from the Contractor is determined.

**34. CONTRACT ALTERATIONS**-No alterations in the terms of a contract shall be valid or binding upon the County unless made in writing and signed by the Purchasing Agent or his or her authorized agent.

**35. SUBLETTING OF CONTRACT OR ASSIGNMENT OF CONTRACT FUNDS**-It is mutually understood and agreed that the Contractor shall not assign, transfer, convey, sublet or otherwise dispose of his or her contractual duties to any other person, firm or corporation, without the previous written consent of the Purchasing Agent. If the Contractor desires to assign his or her right to payment of the contract, Contractor shall notify the Purchasing Agent immediately, in writing, of such assignment of right to payment. In no case shall such assignment of contract relieve the Contractor from his or her obligations or change the terms of the contract.

**36. FUNDING**-A contract shall be deemed binding only to the extent of appropriations available to each Agency for the purchase of goods and services.

**37. DELIVERY/SERVICE FAILURES**-Failure of a Contractor to deliver goods or services within the time specified, or within reasonable time as interpreted by the Purchasing Agent, or failure to make replacements/corrections of rejected articles/services when so requested, immediately or as directed by the Purchasing Agent, shall constitute authority for the Purchasing Agent to purchase in the open market articles/services of comparable grade/quality to replace the services, articles rejected, and/or not delivered. On all such purchases, the Contractor shall reimburse the County, within a reasonable time specified by the Purchasing Agent, for any expense incurred in excess of contract prices. Such purchases shall be deducted from the contract quantities if applicable. Should public necessity demand it, the County reserves the right to use or consume articles delivered or services performed which are substandard in quality, subject to an adjustment in price to be determined by the Purchasing Agent.

**38. NON-LIABILITY**-The Contractor shall not be liable in damages for delay in shipment or failure to deliver when such delay or failure is the result of fire, flood, strike, the transportation carrier, act of God, act of Government, act of an alien enemy or by any other circumstances which, in the Purchasing Agent's opinion, are beyond the control of the Contractor. Under such circumstances, however, the Purchasing Agent may, at his or her discretion, cancel the contract.

**39. NEW GOODS, FRESH STOCK**-All Contractors, unless otherwise specifically stated, shall provide new commodities, fresh stock, latest model, design or pack.

**40. NON-DISCRIMINATION**-During the performance of this contract, the Contractor agrees as follows:

- a. The Contractor will not discriminate against any employee or applicant for employment because of race, religion, color, sex, national origin, age, disability, or other basis prohibited by state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of the Contractor. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this non-discrimination clause.
- b. The Contractor, in all solicitations or advertisements for employees placed by or on behalf of the contractor, will state that such Contractor is an equal opportunity employer.
- c. Notices, advertisements and solicitations placed in accordance with federal law, rule or regulation shall be deemed sufficient for the purpose of meeting the requirements of this section.
- d. The Contractor will include the provisions of the foregoing paragraphs a, b, and c above in every subcontract or purchase order of over \$10,000 so that the provisions will be binding upon each subcontractor or vendor.
- e. Contractor and Subcontractor hereunder shall, throughout the term of this contract, comply with the Human Rights Ordinance, Chapter 11 of the Code of the County of Fairfax, Virginia, as reenacted or amended.

**41. SMALL AND MINORITY BUSINESS UTILIZATION**

- a. It is the policy of the County of Fairfax as declared by the Fairfax County Board of Supervisors' adoption of a Small and Minority Business Enterprise Program, April 6, 1981, that Fairfax County and its employees undertake every effort to increase opportunity for utilization of small or minority businesses in all aspects of procurement to the maximum extent feasible.
- b. Where it is practicable for any portion of the awarded contract to be subcontracted to other suppliers, the contractor is encouraged to offer such subcontracting opportunities to small, women and minority businesses.
- c. Where Federal grants or monies are involved it is the policy of Fairfax County, through its agents and employees, to comply with the requirements set forth in the U.S. Office of Management and Budget Circular No. A-102, uniform administrative requirements for Grants and Cooperative Agreements with State and Local Governments, as they pertain to small and minority business utilization.

**42. GUARANTEES & WARRANTIES**-All guarantees and warranties required shall be furnished by the Contractor and shall be delivered to the Purchasing Agent before final payment on the contract is made. Unless otherwise stated, manufacturer's standard warranty applies.

**43. PRICE REDUCTION**-If at any time after the date of the bid the Contractor makes a general price reduction in the comparable price of any material covered by the contract to customers generally, an equivalent price reduction based on similar quantities and/or considerations shall apply to this contract for the duration of the contract period (or until the price is further reduced). Such price reduction shall be effective at the same time and in the same manner as the reduction in the price to customers generally. For purpose of this provision, a "general price reduction" shall mean any horizontal reduction in the price of an article or service offered (1) to Contractor's customers generally, or (2) in the Contractor's price schedule for the class of customers, i.e., wholesalers, jobbers, retailers, etc., which was used as the basis for bidding on this solicitation. An occasional sale at a lower price, or sale of distressed merchandise at a lower price, would not be considered a "general price reduction" under this provision. The Contractor shall submit his or her invoice at such reduced prices indicating on the invoice that the reduction is pursuant to the "Price Reduction" provision of the contract documents. The Contractor in addition will within ten days of any general price reduction notify the Purchasing Agent of such reduction by letter. **FAILURE TO DO SO MAY REQUIRE TERMINATION OF THE CONTRACT.** Upon receipt of any such notice of a general price reduction, all ordering offices will be duly notified by the Purchasing Agent.

The Contractor, if requested, shall furnish, within ten days after the end of the contract period, a statement certifying either (1) that no general price reduction, as defined above, was made after the date of the bid, or (2) if any such general price reductions were made, that as provided above, they were reported to the Purchasing Agent within ten (10) days and ordering offices were billed at the reduced prices. Where one or more such general price reductions were made, the statement furnished by the Contractor shall include with respect to each price reduction (1) the date when notice of any such reduction was issued, (2) the effective date of the reduction, and (3) the date when the Purchasing Agent was notified of any such reduction.

**44. CHANGES**-Should it become proper or necessary in the execution of this contract to make any change in design, or to make any alterations which will increase the expense, the Purchasing Agent shall determine an equitable adjustment. No payment shall be made to the Contractor for any extra material or services, or of any greater amount of money than stipulated to be paid in the contract, unless some changes in or additions to the contract requiring additional outlay by the Contractor shall first have been expressly authorized and ordered in writing by contract amendment or otherwise furnished by the Purchasing Agent.

**45. PLACING OF ORDERS**-Orders against contracts will be placed with the Contractor on a Purchase Order (or Procurement Card) executed and released by the Purchasing Agent or his or her designee. The Purchase Order must bear the appropriate contract number and date. Where Blanket Purchase Agreements (BPAs) have been executed and a Blanket Purchase Order has been released by the Purchasing Agent, telephonic orders may be placed directly with the Contractor by authorized personnel in the ordering Agency.

**DELIVERY PROVISIONS**

**46. SHIPPING INSTRUCTIONS - CONSIGNMENT**-Unless otherwise specified in the solicitation each case, crate, barrel, package, etc., delivered under the contract must be plainly stenciled or securely tagged, stating the Contractor's name, purchase order number, and delivery address as indicated in the order. Where shipping containers are to be used, each container must be marked with the purchase order number, name of the Contractor, the name of the item, the item number, and the quantity contained therein. Deliveries must be made within the hours of 8:00 AM - 3:00 PM. Deliveries at any other time will not be accepted unless specific arrangements have been previously made with the receiver at the delivery point. No deliveries will be accepted on Saturdays, Sundays and holidays, unless previous arrangements have been made. It shall be the responsibility of the Contractor to insure compliance with these instructions for items that are drop-shipped.

**47. RESPONSIBILITY FOR SUPPLIES TENDERED**-Unless otherwise specified in the solicitation, the Contractor shall be responsible for the materials or supplies covered by the contract until they are delivered at the designated point, but the Contractor shall bear all risk on rejected materials or supplies after notice of rejection. Rejected materials or supplies must be removed by and at the expense of the Contractor promptly after notification of rejection, unless public health and safety require immediate destruction or other disposal of rejected delivery. If rejected materials are not removed by the Contractor within ten (10) days after date of notification, the County may return the rejected materials or supplies to the Contractor at his or her risk and expense or dispose of them as its own property.

**48. INSPECTIONS**-Inspection and acceptance of materials or supplies will be made after delivery at destinations herein specified unless otherwise stated. If inspection is made after delivery at destination herein specified, the County will bear the expense of

inspection except for the value of samples used in case of rejection. Final inspection shall be conclusive except in regard to latent defects, fraud or such gross mistakes as to amount to fraud. Final inspection and acceptance or rejection of the materials or supplies will be made as promptly as practicable, but failure to inspect and accept or reject materials or supplies shall not impose liability on the County for such materials or supplies as are not in accordance with the specifications.

**49. COMPLIANCE-**Delivery must be made as ordered and in accordance with the solicitation or as directed by the Purchasing Agent when not in conflict with the bid. The decision of the Purchasing Agent as to reasonable compliance with delivery terms shall be final. Burden of proof of delay in receipt of goods by the purchaser shall rest with the Contractor. Any request for extension of time of delivery from that specified must be approved by the Purchasing Agent, such extension applying only to the particular item or shipment affected. Should the Contractor be delayed by the County, there shall be added to the time of completion a time equal to the period of such delay caused by the County. However, the contractor shall not be entitled to claim damages or extra compensation for such delay or suspension. These conditions may vary for construction contracts. See Special Provisions for the individual solicitation.

**50. POINT OF DESTINATION-**All materials shipped to the County must be shipped F.O.B. DESTINATION unless otherwise stated in the contract or purchase order. The materials must be delivered to the "Ship to" address indicated on the purchase order.

**51. ADDITIONAL CHARGES-**Unless bought F.O.B. "shipping point" and Contractor prepays transportation, no delivery charges shall be added to invoices except when express delivery is authorized and substituted on orders for the method specified in the contract. In such cases, difference between freight or mail and express charges may be added to invoice.

**52. METHOD AND CONTAINERS-**Unless otherwise specified, goods shall be delivered in commercial packages in standard commercial containers, so constructed as to ensure acceptance by common or other carrier for safe transportation to the point of delivery. Containers become the property of the County unless otherwise specified by bidder.

**53. WEIGHT CHECKING-**Deliveries shall be subject to re-weighing over official sealed scales designated by the County. Payments shall be made on the basis of net weight of materials delivered. Normal shrinkage may be allowed in such instances where shrinkage is possible. Net weights only, exclusive of containers or wrapping, shall be paid for by the County.

**54. DEMURRAGE AND RE-SPOTTING-**The County will be responsible for demurrage charges only when such charges accrue because of the County's negligence in unloading the materials. The County will pay railroad charges due to the re-spotting of cars, only when such re-spotting is ordered by the County.

**55. REPLACEMENT-**Materials or components that have been rejected by the Purchasing Agent, in accordance with the terms of a contract, shall be replaced by the Contractor at no cost to the County.

**56. PACKING SLIPS OR DELIVERY TICKETS-**All shipments shall be accompanied by Packing Slips or Delivery Tickets and shall contain the following information for each item delivered:

1. The Purchase Order Number,
2. The Name of the Article and Stock Number (Supplier's),
3. The Fairfax County Identification Number (FCIN), if specified in the order,
4. The Quantity Ordered,
5. The Quantity Shipped,
6. The Quantity Back Ordered,
7. The Name of the Contractor.

Contractors are cautioned that failure to comply with these conditions shall be considered sufficient reason for refusal to accept the goods.

#### BILLING

**57. BILLING-**Billing for the Fairfax County Public Schools and for County agencies: Unless otherwise specified on the contract or purchase order (PO), invoices are to be submitted, in DUPLICATE, for each purchase order immediately upon completion of the shipment or services. If shipment is made by freight or express, the original Bill of Lading, properly receipted, must be attached to the invoice. Invoices should be mailed to the "BILL TO" address on the PO or to the appropriate address specified in the contract.

#### PAYMENTS

**58. PAYMENT-**Payment shall be made after satisfactory performance of the contract, in accordance with all of the provisions thereof, and upon receipt of a properly completed invoice. Fairfax County reserves the right to withhold any or all payments or portions thereof for Contractor's failure to perform in accordance with the provision of the contract or any modifications thereto.

**59. PARTIAL PAYMENTS-**Unless otherwise specified, partial payments will be made upon acceptance of materials or services so invoiced if in accordance with completion date. However, up to 5 percent (5%) of the value of the entire order may be retained until completion of contract.

**60. PAYMENT FOR EQUIPMENT, INSTALLATION, AND TESTING-**When equipment requires installation (which shall also be interpreted to mean erection and/or setting up or placing in position, service, or use) and test, and where such installation or testing is delayed, payment may be made on the basis of 50% of the contract price when such equipment is delivered on the site. A further



allowance of 25% may be made when the equipment is installed and ready for test. The balance shall be paid after the equipment is tested and found to be satisfactory. If the equipment must be tested, but installation is not required to be made by the Contractor or if the equipment must be installed but testing is not required, payment may be made on the basis of 75% at the time of delivery and the balance shall be paid after satisfactory test or installation is completed.

#### GENERAL

**61. GENERAL GUARANTY**-Contractor agrees to:

- a. Save the County, its agents and employees harmless from liability of any nature or kind for the use of any copyrighted or uncopyrighted composition; secret process, patented or unpatented; invention; article or appliance furnished or used in the performance of a contract for which the Contractor is not the patentee, assignee, licensee or owner.
- b. Protect the County against latent defective material or workmanship and to repair or replace any damages or marring occasioned in transit or delivery.
- c. Furnish adequate protection against damage to all work and to repair damages of any kind to the building or equipment, to his or her own work or to the work of other contractors, for which his or her workers are responsible.
- d. Pay for all permits, licenses and fees and give all notices and comply with all laws, ordinances, rules and regulations of the County.
- e. Protect the County from loss or damage to County owned property while it is in the custody of the Contractor.

**62. SERVICE CONTRACT GUARANTY**-Contractor agrees to:

- a. Furnish services described in the solicitation and resultant contract at the times and places and in the manner and subject to conditions therein set forth provided that the County may reduce the said services at any time.
- b. Enter upon the performance of services with all due diligence and dispatch, assiduously press to its complete performance, and exercise therein the highest degree of skill and competence.
- c. All work and services rendered in strict conformance to all laws, statutes, and ordinances and the applicable rules, regulations, methods and procedures of all government boards, bureaus, offices and other agents.
- d. Allow services to be inspected or reviewed by an employee of the County at any reasonable time and place selected by the County. Fairfax County shall be under no obligation to compensate Contractor for any services not rendered in strict conformity with the contract.
- e. Stipulate that the presence of a County Inspector shall not lessen the obligation of the Contractor for performance in accordance with the contract requirements, or be deemed a defense on the part of the Contractor for infraction thereof. The Inspector is not authorized to revoke, alter, enlarge, relax, or release any of the requirements of the contract documents. Any omission or failure on the part of the Inspector to disapprove or reject any work or material shall not be construed to be an acceptance of any such defective work or material. Notification of an omission or failure will be documented by the Purchasing Agent.

**63. INDEMNIFICATION**-Contractor shall indemnify, keep and save harmless the County, its agents, officials, employees and volunteers against claims of injuries, death, damage to property, theft, patent claims, suits, liabilities, judgments, cost and expenses which may otherwise accrue against the County in consequence of the granting of a contract or which may otherwise result therefrom, if it shall be determined that the act was caused through negligence or error, or omission of the Contractor or his or her employees, or that of the subcontractor or his or her employees, if any; and the Contractor shall, at his or her own expense, appear, defend and pay all charges of attorneys and all costs and other expenses arising therefrom or incurred in connection therewith; and if any judgment shall be rendered against the County in any such action, the Contractor shall, at his or her own expense, satisfy and discharge the same. Contractor expressly understands and agrees that any performance bond or insurance protection required by this contract, or otherwise provided by the Contractor, shall in no way limit the responsibility to indemnify, keep and save harmless and defend the County as herein provided.

**64. OFFICIALS NOT TO BENEFIT-**

- a. Each bidder or offeror shall certify, upon signing a bid or proposal, that to the best of his or her knowledge no Fairfax County official or employee having official responsibility for the procurement transaction, or member of his or her immediate family, has received or will receive any financial benefit of more than nominal or minimal value relating to the award of this contract. If such a benefit has been received or will be received, this fact shall be disclosed with the bid or proposal or as soon thereafter as it appears that such a benefit will be received. Failure to disclose the information prescribed above may result in suspension or debarment, or rescission of the contract made, or could affect payment pursuant to the terms of the contract.
- b. Whenever there is reason to believe that a financial benefit of the sort described in paragraph "a" has been or will be received in connection with a bid, proposal or contract, and that the contractor has failed to disclose such benefit or has inadequately disclosed it, the County Executive, as a prerequisite to payment pursuant to the contract, or at any other time, may require the Contractor to furnish, under oath, answers to any interrogatories related to such possible benefit.
- c. In the event the bidder or offeror has knowledge of benefits as outlined above, this information should be submitted with the bid or proposal. If the above does not apply at time of award of contract and becomes known after inception of a contract, the bidder or offeror shall address the disclosure of such facts to the Fairfax County Purchasing Agent, 12000 Government Center Parkway, Suite 427, Fairfax, Virginia 22035-0013. Relevant Invitation/Request for Proposal Number (see cover sheet) should be referenced in the disclosure.

**65. LICENSE REQUIREMENT**-All firms doing business in Fairfax County, shall obtain a license as required by Chapter 4, Article 7, of The Code of the County of Fairfax, Virginia, as amended, entitled "Business, Professional and Occupational Licensing (BPOL)



Tax." Questions concerning the BPOL Tax should be directed to the Department of Tax Administration, telephone (703) 222-8234 or visit: [http://www.fairfaxcounty.gov/dta/business\\_tax.htm](http://www.fairfaxcounty.gov/dta/business_tax.htm). The BPOL Tax number must be indicated in the space provided on the Cover Sheet, "Fairfax License Tax No." when appropriate.

**66. AUTHORIZATION TO CONDUCT BUSINESS IN THE COMMONWEALTH:** A contractor organized as a stock or nonstock corporation, limited liability company, business trust, or limited partnership or registered as a registered limited liability partnership shall be authorized to transact business in the Commonwealth as a domestic or foreign business entity if so required by Title 13.1 or Title 50 of the *Code of Virginia* or as otherwise required by law. Any business entity described above that enters into a contract with a Fairfax County pursuant to the Fairfax County Purchasing Resolution shall not allow its existence to lapse or its certificate of authority or registration to transact business in the Commonwealth, if so required under Title 13.1 or Title 50, to be revoked or cancelled at any time during the term of the contract. Fairfax County may void any contract with a business entity if the business entity fails to remain in compliance with the provisions of this section.

**67. COVENANT AGAINST CONTINGENT FEES:** The Contractor warrants that no person or selling agency has been employed or retained to solicit or secure this contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide employees or bona fide established commercial or selling agencies maintained by the Contractor for the purpose of securing business. For violation of this warranty, the County shall have the right to terminate or suspend this contract without liability to the County or in its discretion to deduct from the contract price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingent fee.

**68. VIRGINIA FREEDOM OF INFORMATION ACT:** All proceedings, records, contracts and other public records relating to procurement transactions shall be open to the inspection of any citizen, or any interested person, firm or corporation, in accordance with the Virginia Freedom of Information Act (Reference Section 4.D., of the Fairfax County Purchasing Resolution)

#### **BIDDER/CONTRACTOR REMEDIES**

#### **69. INELIGIBILITY-**

- a. Any person or firm suspended or debarred from participation in County procurement shall be notified in writing by the County Purchasing Agent.
  1. The Notice of Suspension shall state the reasons for the actions taken and such decision shall be final unless the person or firm appeals within thirty (30) days of receipt of the Notice by instituting legal action as provided in the Code of Virginia.
  2. The Notice of Debarment shall state the reasons for the actions taken and the decision shall be final unless the person or firm appeals within thirty (30) days of receipt of the notice by instituting legal action as provided in the Code of Virginia.
- b. The County Purchasing Agent shall have the authority to suspend or debar a person or firm from bidding on any contract for the causes stated below:
  1. Conviction for commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract, or in the performance of such contract or subcontract;
  2. Conviction under state or federal statutes of embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or any other offense indicating a lack of business integrity or business honesty which currently, seriously, and directly affects responsibility as a County contractor;
  3. Conviction under the state or federal antitrust statutes arising out of the submission of bids or proposals;
  4. Violation of contract provisions, as set forth below, of a character which is regarded by the County Purchasing Agent to be so serious as to justify suspension or debarment action:
    - (a) failure without good cause to perform in accordance with the specifications or within the time limit provided in the contract; or
    - (b) a recent record of failure to perform or of unsatisfactory performance in accordance with the terms of one or more contracts; provided, that failure to perform or unsatisfactory performance caused by acts beyond the control of the contractor shall not be considered to be a basis for suspension or debarment;
  5. Any other cause the County Purchasing Agent determines to be so serious and compelling as to affect responsibility as a contractor, such as debarment by another governmental entity for any cause listed herein, or because of prior reprimands;
  6. The contractor has abandoned performance or been terminated for default on any other Fairfax County project;
  7. The contractor is in default on any surety bond or written guarantee on which Fairfax County is an obligee.
- c. If, upon appeal, it is determined that the action taken by the County Purchasing Agent was arbitrary or capricious, or not in accordance with the Constitution of Virginia, statutes or regulations, the sole relief available to the person or firm shall be restoration of eligibility. The person or firm may not institute legal action until all statutory requirements have been met.

#### **70. APPEAL OF DENIAL OF WITHDRAWAL OF BID-**

- a. A decision denying withdrawal of a bid submitted by a bidder or offeror shall be final and conclusive unless the bidder appeals the decision within ten (10) days after receipt of the decision by instituting legal action as provided in the Code of Virginia. The bidder or offeror may not institute legal action until all statutory requirements have been met.

- b. If no bid bond was posted, a bidder refused withdrawal of bid under the provisions of Article 2, Section 4 a.9, of the Fairfax County Purchasing Resolution, prior to appealing, shall deliver to the County a certified check or cash bond in the amount of the difference between the bid sought to be withdrawn and the next low bid. Such security shall be released only upon a final determination that the bidder was entitled to withdraw the bid.
- c. If, upon appeal, it is determined that the decision refusing withdrawal of the bid was arbitrary or capricious, the sole relief shall be withdrawal of the bid.

#### **71. APPEAL OF DETERMINATION OF NONRESPONSIBILITY-**

- a. Any bidder who, despite being the apparent low bidder, is determined not to be a responsible bidder for a particular County contract shall be notified in writing by the County Purchasing Agent. Such notice shall state the basis for the determination, which shall be final unless the bidder appeals the decision within ten (10) days of receipt of the notice by instituting legal action as provided in the Code of Virginia. The bidder may not institute legal action until all statutory requirements have been met.
- b. If, upon appeal, it is determined that the decision of the County Purchasing Agent was arbitrary or capricious and the award for the particular County contract in question has not been made, the sole relief available to the bidder shall be a finding that the bidder is a responsible bidder for the County contract in question. Where the award has been made and performance has begun, the County may declare the contract void upon a finding that this action is in the best interest of the public. Where a contract is declared void, the performing contractor shall be compensated for the cost of performance up to the time of such declaration. In no event shall the performing contractor be entitled to lost profits.

#### **72. PROTEST OF AWARD OR DECISION TO AWARD-**

- a. Any bidder or offeror may protest the award or decision to award a contract by submitting a protest in writing to the County Purchasing Agent, or an official designated by the County of Fairfax, no later than ten (10) days after the award or the announcement of the decision to award, whichever occurs first. Any potential bidder or offeror on a contract negotiated on a sole source or emergency basis who desires to protest the award or decision to award such contract shall submit such protest in the same manner no later than ten days after posting or publication of the notice of such contract as provided in Article 3, Section 4, of the Fairfax County Purchasing Resolution. However, if the protest of any actual or potential bidder or offeror depends in whole or in part upon information contained in public records pertaining to the procurement transaction which are subject to inspection under Article 2, Section 4d of the Fairfax County Purchasing Resolution, then the time within which the protest must be submitted shall expire ten days after those records are available for inspection by such bidder or offeror under Article 2, Section 4d, or at such later time as provided herein. No protest shall lie for a claim that the selected bidder or offeror is not a responsible bidder or offeror. The written protest shall include the basis for the protest and the relief sought. The County Purchasing Agent shall issue a decision in writing within ten (10) days of the receipt of the protest stating the reasons for the action taken. This decision shall be final unless the bidder or offeror appeals within ten (10) days of receipt of the written decision by instituting legal action as provided in the Code of Virginia.
- b. If prior to award it is determined that the decision to award is arbitrary or capricious, then the sole relief shall be a finding to that effect. The County Purchasing Agent shall cancel the proposed award or revise it to comply with the law. If, after an award, it is determined that an award of a contract was arbitrary or capricious, then the sole relief shall be as hereinafter provided. Where the award has been made but performance has not begun, the performance of the contract may be declared void by the County. Where the award has been made and performance has begun, the County Purchasing Agent may declare the contract void upon a finding that this action is in the best interest of the County. Where a contract is declared void, the performing contractor shall be compensated for the cost of performance at the rate specified in the contract up to the time of such declaration. In no event shall the performing contractor be entitled to lost profits.
- c. Pending final determination of a protest or appeal, the validity of a contract awarded and accepted in good faith in accordance with this article shall not be affected by the fact that a protest or appeal has been filed.
- d. An award need not be delayed for the period allowed a bidder or offeror to protest, but in the event of a timely protest, no further action to award the contract will be taken unless there is a written determination that proceeding without delay is necessary to protect the public interest or unless the bid or offer would expire.

#### **73. CONTRACTUAL DISPUTES-**

- a. Any dispute concerning a question of fact as a result of a contract with the County which is not disposed of by agreement shall be decided by the County Purchasing Agent, who shall reduce his decision to writing and mail or otherwise forward a copy thereof to the contractor within thirty (30) days. The decision of the County Purchasing Agent shall be final and conclusive unless the contractor appeals within six (6) months of the date of the final written decision by instituting legal action as provided in the Code of Virginia. A contractor may not institute legal action, prior to receipt of the public body's decision on the claim, unless the public body fails to render such decision within the time specified.
- b. Contractual claims, whether for money or other relief, shall be submitted in writing no later than sixty days after final payment; however, written notice of the contractor's intention to file such claim shall have been given at the time of the occurrence or beginning of the work upon which the claim is based. Nothing herein shall preclude a contract from requiring submission of an invoice for final payment within a certain time after completion and acceptance of the work or acceptance of the goods. Pendency of claims shall not delay payment of amounts agreed due in the final payment.

**74. LEGAL ACTION-**No bidder, offeror, potential bidder or offeror, or contractor shall institute any legal action until all statutory requirements have been met.

**75. COOPERATIVE PURCHASING-**The County may participate in, sponsor, conduct or administer a cooperative procurement agreement on behalf of or in conjunction with one or more other public bodies, or public agencies or institutions or localities of the several states, of the United States or its territories, or the District of Columbia, for the purpose of combining requirements to increase efficiency or reduce administrative expenses in any acquisition of goods and services. Except for contracts for professional services, a public body may purchase from another public body's contract even if it did not participate in the request for proposal (RFP) or invitation for bid (IFB), if the RFP or IFB specified that the procurement was being conducted on behalf of other public bodies. Nothing herein shall prohibit the assessment or payment by direct or indirect means of any administrative fee that will allow for participation in any such arrangement.

**76. PROFESSIONAL AFFILIATION-**The Department of Procurement & Material Management holds membership in the National Institute of Governmental Purchasing, Inc., a non-profit, educational and technical organization that includes among its goals and objectives the study, discussion, and recommendation of improvements in governmental purchasing and the interchange of ideas and experiences on local state, and national governmental purchasing problems.

**77. DRUG FREE WORKPLACE-**During the performance of a contract, the contractor agrees to (i) provide a drug-free workplace for the contractor's employees; (ii) post in conspicuous places, available to employees and applicants for employment, a statement notifying employees that the unlawful manufacture, sale, distribution, dispensation, possession, or use of a controlled substance or marijuana is prohibited in the contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition; (iii) state in all solicitations or advertisements for employees placed by or on behalf of the contractor that the contractor maintains a drug-free workplace; and (iv) include the provisions of the foregoing clauses in every subcontract of over \$10,000, so that the provisions will be binding upon each subcontractor or vendor. For the purposes of this section, "drug-free workplace" means a site for the performance of work done in conjunction with a specific contract awarded to a contractor in accordance with this section, the employees of whom are prohibited from engaging in the unlawful manufacture, sale, distribution, dispensation, possession or use of any controlled substance or marijuana during the performance of the contract.

**78. VENUE:** This contract and its terms, including, but not limited to, the parties' obligations under it, the performance due from each party under it, and the remedies available to each party for breach of it, shall be governed by, construed and interpreted in accordance with the laws of the Commonwealth of Virginia. Any jurisdiction's choice of law, conflict of laws, rules, or provisions, including those of the Commonwealth of Virginia, that would cause the application of any laws other than those of the Commonwealth of Virginia shall not apply. Any and all disputes, claims and causes of action arising out of or in connection with this contract or any performance hereunder, shall be brought in the applicable court of Fairfax County, Virginia, or in the United States District Court, Eastern District of Virginia, Alexandria Division.

**79. IMMIGRATION REFORM AND CONTROL ACT:** Contractor certifies that it does not, and shall not during the performance of the contract for goods and services in the Commonwealth, knowingly employ an unauthorized alien as defined in the Federal Immigration Reform and Control Act of 1986.

**80. CONTRACTOR NOT TO BENEFIT:** Contractor agrees that the goods and/or services provided to Fairfax County pursuant to this Agreement are for the benefit of Fairfax County and that Contractor shall not undertake any actions or efforts stemming from or related to this Agreement that shall inure to the detriment of Fairfax County. Any information provided to the Contractor for the performance of this Contract shall not be used for any other purpose without the written consent of the Purchasing Agent.

**APPROVED:**

/S/ David P. Bobzien  
COUNTY ATTORNEY

/S/ Cathy A. Muse  
COUNTY PURCHASING AGENT

# Community Services Block Grant

- Current Income Eligibility Guidelines

<b>CSBG Income Eligibility Guidelines POVERTY LEVEL 2017 (125%)</b>			
<b>Family Size</b>	<b>100%</b>	<b>125%</b>	<b>200%</b>
1	\$12,060	\$15,075	\$24,120
2	\$16,240	\$20,300	\$32,480
3	\$20,420	\$25,525	\$40,840
4	\$24,600	\$30,750	\$49,200
5	\$28,780	\$35,975	\$57,560
6	\$32,960	\$41,200	\$65,920
7	\$37,140	\$46,425	\$74,820
8	\$41,320	\$51,650	\$82,640
January 31, 2017 Federal Register			



# Human Services Region in Fairfax County with Zip Codes

LOUDOUN COUNTY



COUNTY OF ARLINGTON

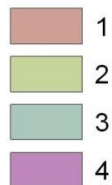
CITY OF ALEXANDRIA

PRINCE WILLWAM COUNTY

### Legend

### Human Services Regions (polygon)

## HS Region Area



0 4,400 8,800 17,600 26,400 35,200 Feet

# GLOSSARY

<b>TERM</b>	<b>DEFINITION</b>
A-133 Audit	Federal Office of Management and Budget (OMB) audit requirement related to non-profit organizations that directly or indirectly receive in total \$750,000 or more in federal grants or contracts. The scope of the audit covers the entire operations of the agency, emphasizing extensive testing of the internal controls of the agency.
ACORD Form	An ACORD Form is a standard document that summarizes an entity's insurance coverage. ACORD stands for Association for Cooperative Operations Research and Development, which is a global, nonprofit insurance association whose mission is to facilitate the development and use of standards for the insurance, reinsurance and related financial services industries. Many, but not all, insurers report coverage on ACORD forms.
Activity/Service	<p>A description of the service or intervention provided to the client. The Activity/Service must be logically related to the Outcome. This is measured by counting the number of clients that receive the Activity/Service.</p> <p><b>Example:</b></p> <ul style="list-style-type: none"> <li>• Provide 100 homeless women with case management services;</li> <li>• Provide 50 elementary school children with homework help.</li> </ul>
ADA	<p>Americans with Disabilities Act (ADA) - Department of Justice's regulation implementing title III of the ADA, which prohibits discrimination on the basis of disability in "places of public accommodation" (businesses and non-profit agencies that serve the public) and "commercial facilities" (other businesses). The regulation includes Appendix A to Part 36 - Standards for Accessible Design establishing minimum standards for ensuring accessibility when designing and constructing a new facility or altering an existing facility.</p> <p>ADA Regulations and Technical Assistance materials can be found at:  <a href="http://www.ada.gov/stdspdf.htm">http://www.ada.gov/stdspdf.htm</a>.</p>
Appraisal-Income Market Value	All income property should be valued using both methods and it is critical which of the two is completed first. Normally, the market value appraisal will show a greater value than the income approach once the prospective buyer has made adjustments to the data provided by the seller. In the income evaluation approach the debt service is not considered even though the actual debt service may have a negative effect on the desired yield. The reason is the prospective buyer is attempting to find a value based solely on the income and operating expenses.
Articles of Incorporation	A portion of the documentation that would be submitted to the State to obtain a corporate business license. Defines the nature of the activities that the business will perform.
Audit	Determines whether an entity's financial statements are presented fairly in accordance with generally accepted accounting principles.
Automobile Liability Insurance	Indemnity policy for legal liability for bodily injury or damage to others arising from accidents of ownership or operation of an automobile.



<b>TERM</b>	<b>DEFINITION</b>
BPOL (Business Professional & Occupational License)	Businesses whose gross receipts are between \$10,001 and \$50,000 must file a BPOL application and pay a license fee of \$30; those with receipts between \$50,001 and \$100,000 pay a license fee of \$50. Businesses with gross receipts in excess of \$100,000 pay the BPOL tax rate for their particular category. This only applies to those who are located in Fairfax County. All non-profits must apply to become tax exempt. Contact Fairfax County Tax Office at 703-222-8234 for further information.
Budget Resources	Contracts, grants, cash returns from fundraising, in-kind contributions, volunteers and donated supplies, equipment, cash, space or service.
Collaboration	Communities, non-profit agencies or local organizations joining together through written agreements to provide services based on common goals and shared funding. Partners agree to pool resources and jointly plan, implement and evaluate new services and procedures. They also agree to delegate individual responsibility for the outcomes of their joint efforts.
Cooperation	Communities, non-profit agencies or local organizations working together to coordinate services in order to improve quality and accessibility. They may share information, co-locate services, make and accept referrals, and join together in assessing community needs.
Cost Proposal	A preliminary budget estimate submitted by nonprofit contractor that details the budgetary requirements associated with a proposed project/program. It will normally include a detailed description and justification of all proposed costs (personnel, direct costs and management & general), revenues and resources required for implementation of the project/program.
Direct Costs	Costs directly associated with specific activities of a project/program. This includes personnel, rent/mortgage, utilities, printing, travel, training, etc. Direct costs are distinguished from indirect costs.
Disability Benefit	Income paid under a disability insurance policy that is not covered under Workers Compensation Benefits. The employer, for the benefit of his employees, holds this policy.
Employee Benefit	An employment benefit given in addition to one's wages or salary.  <b>Example:</b> Health insurance, FICA, Retirement, unemployment, etc.
Federal Tax Form 990 (Return of Organization Exempt from Income Tax)	IRS form (990 or 990EZ) required for non-profits to file annual gross expenses and description of programs and accomplishments. Forms are not required if the annual gross receipts are \$25,000 or less or the non-profit meets other exemptions.
Federal Tax Identification	The employer identification number (EIN) is a nine-digit number assigned to the organization by the Internal Revenue Service (IRS).

<b>TERM</b>	<b>DEFINITION</b>
Fidelity Bond Insurance	A form of insurance which protects the covered employer against loss due to dishonesty of his employees.
Financial Solvency	The ability of an entity to pay its expenses/debts. Solvency can also be described as the ability of a corporation, organization, etc. to meet its long-term fixed expenses and to accomplish long-term expansion and growth.
General Liability Insurance	Coverage for an insured when negligent acts and/or omissions result in bodily injury and/or property damage on the premises of a business; when someone is injured as the result of using the product manufactured or distributed by a business; or when someone is injured in the general operation of a business.
Indemnification	An agreement to hold a specified party harmless as a result claims or damages.
Indirect Costs	<p>Costs to an agency not readily identifiable with a particular project/program or activity but necessary to its general operation. Administrative salaries and non-personnel costs that are not directly related to a project/program are examples of indirect costs. Agencies applying to the Funding Pool should explain how indirect costs are determined in the budget justification section of their proposal. (see also <i>Infrastructure</i>)</p> <p><b>Example:</b> Management and Administrative Personnel, Telephone, Rent, Custodial Service, Payroll, Data Processing, Purchasing, Accounting, Vehicles and Insurance.</p>
Infrastructure	An underlying base or foundation for an organization's personnel, functions and/or systems necessary to deliver on its mission. Examples may include information technology systems, financial systems, skills training and other essential overhead. (see also <i>Indirect Costs</i> )
Interim Financial Statement	Statement issued for an accounting period of less than one year, such as quarterly or monthly. Interim financial statements should be based on the accounting principles employed in the previous year's annual report unless a change has been adopted in the current year and are typically unaudited.
Internal Control Report	An audit report to assess and report on the auditee's overall system of internal control.
Leveraging	Additional County and non-County contributions to the program. Cash leveraging includes all grants, fees for service, contributions, and revenue from fundraising activities. Non-cash leveraging includes in-kind contributions of goods, supplies, space and services.
Management & General	See <i>Indirect Costs</i> . Agencies applying to the Funding Pool may use either term in their submitted budgets.
Median Family Income	The median income for Metropolitan Washington Statistical Area is \$110,300. Please note that this statistic changes annually.

<b>TERM</b>	<b>DEFINITION</b>
Medical Home	A Medical Home is a primary health care provider chosen as a usual source of health care. A medical home knows an individual's health needs best by keeping comprehensive medical records of all health care received and coordinating all services including preventative visits, immunizations, and referrals to specialists.
Memorandum of Agreement (MOA)	A <b>memorandum of agreement</b> (MOA) or <b>cooperative agreement</b> is a document written between parties to cooperatively work together on an agreed upon program/project or meet an agreed upon objective. The purpose of an MOA is to have a written understanding of the agreement between parties. An MOA will typically specify who will do what, for whom, over what time period, at what cost (if any), and to what standard of performance.
Outcome Measurement System	Describes how the project/program Outcome Indicator will be measured. The description answers who, what, when, and how. This includes: how data is collected, analyzed and reported; when the data is collected (at intake; before and after program; etc.); what measurement tool will be used; and who is responsible (i.e. intake worker; social worker).
Outcome Measurement Tool	Instrument used to determine the project/program Outcome. Often, an Outcome Measurement Tool is used to determine if a client has achieved the Outcome Indicator. For instance, the Family Self-Sufficiency Scale can be used to determine if a family has achieved self-sufficiency or not. Not all projects use Outcome Measurement Tools. For example, a food pantry generally does not use an outcome measurement tool to determine if the family received food. Standardized measures, client surveys and level of functioning scales can be used as outcome measurement tools  <b>Example:</b> <ul style="list-style-type: none"> <li>• Minnesota Multiphasic Personality Inventory</li> <li>• Family Self-Sufficiency Scale</li> </ul>
Outcomes	Benefits for participants during or after project/program's Service/Activities. Outcomes relate to knowledge, skills, attitudes, values, behavior, condition, or status. They are what participants know, think, or can do; or how they behave; or what their condition is that is different during or following the Service/Activity.  <b>Example:</b> <ul style="list-style-type: none"> <li>• Youth attend school regularly</li> <li>• Clients have housing</li> </ul>
Outcome Indicators	A specific observable and measurable change in the client or client circumstance. Funding Pool Outcome Indicators are <i>nominal measures</i> that count the number of clients that evidence the targeted change. The Outcome Indicator must logically relate to the Outcome and must include a timeline.  <b>Example:</b> <ul style="list-style-type: none"> <li>• 75 or 75% of middle school children enrolled in the program will miss less than 10 days of school by the end of the school year;</li> <li>• 15 or 50% of immigrant mothers in the program will learn emergency English at the end of the 6-week program.</li> </ul>

<b>TERM</b>	<b>DEFINITION</b>
Professional Liability Insurance	Coverage for specialists in various professional fields.
Staff Resources	Persons required to perform an organization's management, support and project/program activities. Staff resources would include paid positions, consultants and volunteers.
Targeted Geographic Area	Area may include: Human Service Regions, specific zip codes, or a specific neighborhood or community defined in the proposal.
Technical Proposal	Describes the activities/services and methodologies of a program approach and capacity to address the identified need. It may also include details such as statistical data on the population to be served, description of outcome to be achieved and organizational capacity/experience to deliver the program.
Timeline	A listing of key project/program year milestones by accomplishment date. A timeline would include start-up activities, project initiation, conduct of project activities, and/or events, and evaluation measurement.
Unemployment Compensation	Money paid through the state to workers who are temporarily unemployed. Employers may pay into a State Unemployment Compensation Pool or select to pay the State Unemployment Compensation Pool when dismissed employee files for unemployment.
Workers' Compensation Insurance	Legally mandated insurance requirement for all businesses having 3 or more employees. Workers' Compensation payments are required by law to be made to an employee injured in the course of work; optional requirement for business with less than 3 employees. Employees may collect from their insurance for injuries sustained in the course of employment.
Work plan	Description of how the overall project will be initiated, managed, conducted and evaluated.

<b>Abbreviations</b>	
BOS	Board of Supervisors
CAAB	Community Action Advisory Board
CBDO	Community Based Development Organizations
CCFP	Consolidated Community Funding Pool
CCFAC	Consolidated Community Funding Advisory Committee
CDBG	Community Development Block Grant
CSBG	Community Services Block Grant
MSA	Metropolitan Statistical Area
RFP	Request for Proposal
SAC	Selection Advisory Committee

# Links to Web Sites with Descriptive Data on Fairfax County

- A wide variety of Demographic and Economic information and maps is available on the County's webpage at: <http://www.fairfaxcounty.gov/government/about/data/>
- Economic and Demographic publications about Fairfax County, including census and land use data and special studies, are at:  
<http://www.fairfaxcounty.gov/demogrph/publist.htm>
- Information on numerous Human Service programs and links to agencies is at:  
<http://www.fairfaxcounty.gov/healthhman/>
- A number of reports and studies can be downloaded from the Human Services-General Reports page at:  
<http://www.fairfaxcounty.gov/hsreports/>
- Fairfax County Results for the Youth Surveys are at:  
<http://www.fairfaxcounty.gov/demogrph/youthpdf.htm>
- Information on the four Human Services Regions and the work of Coordinated Services Planning is at:  
<http://www.fairfaxcounty.gov/ncs/csp/>
- Information on business and economic development in Fairfax County can be found on the Economic Development Authority site at: <http://www.fairfaxcountyeda.org/>
- Information on the Consolidated Community Funding Pool  
<http://www.fairfaxcounty.gov/ccfp/>

## CONSOLIDATED COMMUNITY FUNDING POOL FREQUENTLY ASKED QUESTIONS

**Q. Why are only two priority areas allowed per application?**

- A. The rationale for limiting priority areas to no more than two primary priority areas is to identify areas that are the program focus. This is not to preclude applicants from proposing projects addressing multiple priorities, but it does require applicants to write a proposal focused on primary priority areas to be addressed. One benefit is that the reporting requirements will be reduced requiring outcome measures only for those strategies that address the primary priority areas. Another benefit is to allow for comparison of like programs. The CCFAC believes that having more focused applications will simplify the process and provide for more equitable evaluation of programs.

**Q. Won't this hurt programs of a "holistic" nature that focus on a broad range of services?**

- A. No, "holistic" programs will not be penalized as a result of this policy. It will allow the program to be considered in its primary proposed priority area. However, the process for evaluating proposals does not reward "holistic" programs. Rather, the emphasis is to make a clear and rational link between proposed goals and strategies and the priority areas. This will also allow scores for like programs to be compared within the common priority areas these programs are addressing.

**Q. How many programs may an organization apply to fund?**

- A. There is no limit to the number of programs for which an organization may submit applications.

**Q. How much "leveraging" of programs is required?**

- A. There is no minimum requirement for the amount of leveraged support provided to a program. However, in keeping with CCFAC policy, CCFP funding is to be used to address a broad spectrum of need to the extent of supporting and enhancing community services. Further, programs that diversify funding can demonstrate service stability over time by broadening their community support.

**Q. When will awards be made?**

- A. A Selection Advisory Committee recommends contract awards to the Board of Supervisors. Contract awards will be submitted to the Board in April prior to the initiation of the contract for appropriation of funding. Assuming Board approval of funding, organizations will be notified of approved projects in late April.

**Q. Define what is meant by a Community Based Development Organization (CBDO)?**

- A. This term is applicable only for certain Affordable Housing Capital Projects. Pursuant to federal regulations, CDBG funding for new construction can be awarded to CDBOs. For a further discussion of CDBOs, refer to the Community Development Block Grant regulations in the Resource Manual.

**Q. Can reviewers that are selected from the general public and are not professionals make decisions about specific program services?**

- A. Page one of the RFP describes the process for selecting CCFP reviewers. The objective of the funding pool process is that members of the community decide all steps of the process including how the projects are selected. The County staff provides background information to this group of residents who are appointed to this process by the County Executive through the Department of Procurement and Material Management.



**CONSOLIDATED COMMUNITY FUNDING POOL  
FREQUENTLY ASKED QUESTIONS**

**Q. Why is it important for the organization to sign the Proposal Cover Sheet (Form 1)?**

A. By signing the Proposal Cover Sheet (Form 1), a legally authorized individual from the applying organization certifies, acknowledges, understands, and agrees to be bound by the conditions set forth in the *General Conditions and Instructions to Bidders* (as described in Appendix A). If a proposal is accepted, the applicant agrees to furnish the proposed service(s) in accordance with the terms and conditions as set forth in the Request for Proposal and supporting documentation.

**Q. Can proposals be accepted even if it is one or two minutes past the deadline?**

A. No.

## ABC Youth Program Timeline

	Phase	Action Steps	Responsible Party	Due Date	Cost
<b>Fiscal Year 2018</b>	<b>I. Program Start Up</b>	Notify Stakeholders of Contract Award	Executive Director	15-May	
		Staff Recruitment/Hiring			
		Advertise Staff Vacancies	Executive Director/HR Director	1-Jun	\$150
		Conduct Interviews/Background Checks	Executive Director/HR Director	16-Jun	\$120
		Make Hiring Decisions	Executive Director/HR Director	1-Jul	
		Program Staff Projected Start Date		15-Jul	
		Staff Orientation	Executive Director/HR Director	20-Jul	\$50
		Meeting with School Personnel	Program Director	1-Aug	
		Secure Program Equipment Supplies	Program Director		
		Purchase Curriculum Software	Program Director	1-Aug	\$1,200
		Finalize/Purchase Program Curriculum	Program Director	15-Aug	\$500
	<b>II. Outreach</b>	Volunteer Recruitment	Program Director & Volunteer Coordinator		
		Advertise Volunteer Instructor Positions	Volunteer Coordinator	1-Aug	
		Conduct Screenings/Background Checks	Volunteer Coordinator	20-Aug	\$200
		Volunteer Orientation Session	Program Director & Volunteer Coordinator	30-Aug	\$50
	<b>III. Youth Recruitment</b>	Back-to-School Nights	Program Director & Volunteers	11-Sep	\$100
		Parent's Meeting	Program Director & Volunteers	13-Sep	
	<b>IV. Program Delivery</b>	Program Start	Volunteers	15-Sep	
		Provide Pre-Test Assessments	Volunteers	18-Sep	
		Mid-Year Activities			
		Holiday Party/Parent Meeting	Program Director & Volunteer Coordinator	15-Dec	\$200
		Mid-Year Review w/ School Personnel	Program Director & Volunteer Coordinator	15-Jan	
			Executive Director, Program Director & Volunteer Coordinator		
		Mid-Year Program Review	Volunteers	20-Jan	
	<b>V. Year-End Review</b>	Provide Post-Test Assessments	Volunteers	1-Jun	
		Youth & Volunteer Recognition & Parent Meeting	Program Director & Volunteer Coordinator	10-Jun	\$250
		Meeting with School Personnel (Teachers/Guidance Counselors)	Program Director & Volunteer Coordinator	20-Jun	
		Program Review	Executive Director, Program Director & Volunteer Coordinator	30-Jun	
<b>Fiscal Year 2019</b>	<b>I. Outreach</b>	Volunteer Recruitment	Program Director & Volunteer Coordinator		
		Advertise Volunteer Instructor Positions	Volunteer Coordinator	2-Aug	
		Conduct Screenings/Background Checks	Volunteer Coordinator	22-Aug	\$200
		Volunteer Orientation Session	Program Director & Volunteer Coordinator	30-Aug	\$50
	<b>II. Youth Recruitment</b>	Back-to-School Nights	Program Director & Volunteers	12-Sep	\$100
		Parent's Meeting	Program Director & Volunteers	14-Sep	
	<b>III. Program Delivery</b>	2nd Year Program Start	Volunteers	16-Sep	
		Provide Pre-Test Assessments	Volunteers	19-Sep	
		Mid-Year Activities			
		Holiday Party/Parent Meeting	Program Director & Volunteer Coordinator	15-Dec	\$200
		Meeting with School Personnel (Teachers/Guidance Counselors)	Program Director & Volunteer Coordinator	15-Jan	
		Mid-Year Program Review	Executive Director, Program Director & Volunteer Coordinator	20-Jan	
	<b>IV. Year-End Review</b>	Provide Post-Test Assessments	Volunteers	2-Jun	
		Youth & Volunteer Recognition & Parent Meeting	Program Director & Volunteer Coordinator	10-Jun	\$250
		Meeting with School Personnel (Teachers/Guidance Counselors)	Program Director & Volunteer Coordinator	20-Jun	
		Program Review	Executive Director, Program Director & Volunteer Coordinator	30-Jun	

Note: Program Costs do not reflect Personnel Salaries and Benefits

## ABC Housing Program Timeline

	Action Steps	Prior to Contract	1st Quarter			2nd Quarter			3rd Quarter			4th Quarter			Responsible Party
			Jul	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	
FISCAL YEAR 2018	<b>Program Start-up</b>														
	Update Agreements w/ Collaborative Partners														Executive Director
	Staff Recruitment/Hiring														HR Manager
	Advertise Staff Vacancies														HR Manager
	Conduct Interviews/Background Checks														HR Manager
	Make Hiring Decisions														Executive Director & HR Manager
	Program Staff Projected Start Date														
	Staff Orientation/Training														HR Manager
	Secure Program Equipment Supplies														Program Staff
	<b>Program Marketing/Outreach</b>														
	Recruit/Screen Financial Counselors														Program Staff
	Community Information Sessions														Program Staff
	<b>Program Activities</b>														
	Financial Counseling Sessions														Program Staff & Volunteers
	Homeownership Classes														Collaborative Partner (County)
	Affordable Non-Predatory Mortgages*														Program Staff & Financial Institutions
	<b>Evaluation</b>														
	Client Surveys														Program Staff & Volunteers
	Self Sufficiency Scale (Data Collection)														Program Staff & Volunteers
	Staff Review														Executive Director & HR Manger
FISCAL YEAR 2019	<b>2nd Year Program Start-up</b>														
	Update Agreements w/ Collaborative Partners														Executive Director & Program Staff
	Staff Orientation/Training														HR Manager
	Secure Program Equipment Supplies														Program Staff
	<b>Program Marketing/Outreach</b>														
	Recruit/Screen Financial Counselors														Program Staff
	Volunteer Orientation Sessions														Program Staff
	Community Information Sessions														Program Staff
	<b>Program Activities</b>														
	Financial Counseling Sessions														Program Staff & Volunteers
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	<b>Evaluation</b>														
	Client Surveys														Program Staff & Volunteers
	Self Sufficiency Scale (Data Collection)														Program Staff & Volunteers
	Staff Review														Executive Director & HR Manger

## Required Reporting During Contract Period

### Demographic Report

#### July Report

		Households		Clients	
Number of Unduplicated Households & Clients Served This Month	(A)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Number of Households & Clients Served for the First Time This Fiscal Year	(B)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Extremely Low Income	(D1)	<input type="text" value="0"/>	*		
Very Low Income	(D2)	<input type="text" value="0"/>	*		
Low Income	(D3)	<input type="text" value="0"/>	*		

#### Household Totals by Race and Ethnicity

		Hispanic or Latino		Not Hispanic or Latino	
White / Caucasian	(F1)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Black / African American	(F2)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
American Indian or Alaskan Native	(F3)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Asian	(F4)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Native Hawaiian / Pacific Islander	(F5)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Multiracial	(F6)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Other / Not Reported	(F7)	<input type="text" value="0"/>	*	<input type="text" value="0"/>	*
Ethnicity Totals		0		0	

#### Other Data by Households

		Total Households			
Female Headed Household	(G)	<input type="text" value="0"/>	*		
Familial Status (# of families w/ children under age 18)	(H)	<input type="text" value="0"/>	*		
Disabled (# of households with persons having a physical or mental impairment that substantially limits one or more major life activities)	(I)	<input type="text" value="0"/>	*		
Elderly (# of households w/ persons age 55 or older)	(J)	<input type="text" value="0"/>	*		
Number of households w/ unemployed persons (Do not include dependents in high school or below)	(K)	<input type="text" value="0"/>	*		
TANF (# of households enrolled in TANF)	(L)	<input type="text" value="0"/>	*		
Carried Over From June 30, 2016		<input type="text" value="0"/>	*	<input type="text" value="0"/>	*

## Required Reporting During Contract Period

### Quarterly Program Report

#### 1st Quarter

**Service/Activity**  
Provide food to families with school aged children at three Fairfax County Schools in regions 1 and 2.

**Outcome**  
100% of individuals served with service-eligible school-aged children who attended the Family Markets will have their food needs met.

#### Service/Activity

##### SERVED THIS QUARTER

##### CLIENTS

##### HOUSEHOLDS

##### UNDUPLICATED SERVED YEAR-TO-DATE

##### CLIENTS

##### HOUSEHOLDS

Projected Annual

Total CCFP

New CCFP

CLIENTS	HOUSEHOLDS	CLIENTS	HOUSEHOLDS
=====	=====	=====	=====
		<b>1,896</b>	<b>474</b>
<input type="text" value="0"/>	<input type="text" value="0"/>		
<input type="text" value="0"/>	<input type="text" value="0"/>	0	0

#### Outcome Achieved

##### Unduplicated Year-to-Date

##### CLIENTS

##### HOUSEHOLDS

Actual

Projected

Actual

Projected

Achieving Outcome for CCFP:

% Achieving Outcome for CCFP:

0%

1,896

0%

474

#### 1st Quarter

##### Volunteer Resources

##### Quarter

##### Year to Date

Actual

Projected

Total Actual  
Unduplicated

Total Non-Professional Volunteers

Total New Non-Professional Volunteers this Quarter

Total Non-Professional Hours

Value of Total Non-Professional Hours

\$0.00

10

432.00

\$11,270.88

0

0.00

\$0.00

##### Quarter

##### Year to Date

Actual

Projected

Total Actual  
Unduplicated

Total Professional Volunteers

Total New Professional Volunteers in this Quarter

Total Professional Hours

Value of Total Professional Hours

10

96.00

\$4,800.00

0

0.00

\$0.00

##### OtherResources

##### Quarter

##### Year to Date

Actual

Projected

Total Actual  
Unduplicated

Cash Resources  
(Other grants, donations, or client revenues)

Non-Cash Resources

(Equipment, space, supplies, services, and waived fees)

\$716,462.00

\$109,500.00

\$0.00

\$0.00

## Required Reporting During Contract Period

### Monthly Expenditure Report

July Report				
EXPENDITURE CATEGORY	BUDGET	ACTUAL	CUMULATIVE	REMAINING BALANCE
A. Personnel Expenditures				
1. Salaries	\$5,000.00	\$0.00	\$0.00	\$5,000.00
2. Benefits	\$0.00	\$0.00	\$0.00	\$0.00
3. Payroll Taxes	\$0.00	\$0.00	\$0.00	\$0.00
B. Direct Expenditures				
4. Rent/Mortgage	\$10,000.00	\$0.00	\$0.00	\$10,000.00
5. Space Utilities / Maintenance / etc.	\$0.00	\$0.00	\$0.00	\$0.00
6. Audit	\$3,000.00	\$0.00	\$0.00	\$3,000.00
7. Financial Services	\$0.00	\$0.00	\$0.00	\$0.00
8. Consultant Services	\$10,000.00	\$0.00	\$0.00	\$10,000.00
9. Insurance	\$0.00	\$0.00	\$0.00	\$0.00
10. Equipment Lease / Purchase/Maintenance	\$0.00	\$0.00	\$0.00	\$0.00
11. Supplies	\$0.00	\$0.00	\$0.00	\$0.00
12. Telecommunications	\$0.00	\$0.00	\$0.00	\$0.00
13. Printing / Copying	\$0.00	\$0.00	\$0.00	\$0.00
14. Postage	\$0.00	\$0.00	\$0.00	\$0.00
15. Training	\$0.00	\$0.00	\$0.00	\$0.00
16. Travel	\$0.00	\$0.00	\$0.00	\$0.00
17. Direct Assistance	\$2,000.00	\$0.00	\$0.00	\$2,000.00
18. Software Purchase / License Costs	\$0.00	\$0.00	\$0.00	\$0.00
19. Transportation Costs	\$0.00	\$0.00	\$0.00	\$0.00
20. Depreciation	\$0.00	\$0.00	\$0.00	\$0.00
21. Other (Spending)	\$0.00	\$0.00	\$0.00	\$0.00
C. Indirect Expenditures				
22. Management and General	\$0.00	\$0.00	\$0.00	\$0.00
23. Other Indirect Costs	\$0.00	\$0.00	\$0.00	\$0.00
D. Capital Expenses				
24. Hardware Purchases	\$0.00	\$0.00	\$0.00	\$0.00
25. Equipment Purchases	\$0.00	\$0.00	\$0.00	\$0.00
26. Other Capital Costs	\$0.00	\$0.00	\$0.00	\$0.00
E. Housing Capital Costs				
27. Rehabilitation	\$0.00	\$0.00	\$0.00	\$0.00
28. Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
29. Construction	\$0.00	\$0.00	\$0.00	\$0.00
F. Total	\$30,000.00	\$0.00	\$0.00	\$30,000.00



## **Guidelines for Affordable Housing Capital Projects ONLY**

### **1. PROPOSED AFFORDABLE HOUSING CAPITAL PROJECTS ARE ELIGIBLE FOR THE FOLLOWING ACTIVITIES:**

- a. Acquisition of property to be used for permanent housing
- b. Site improvements
- c. Rehabilitation of permanent housing (including historic preservation)
- d. Conversion of non-residential structures into permanent housing
- e. Clearance of toxic contaminants of property to be used for construction of new housing
- f. New construction of permanent residential structures (*provided the applicant qualifies as a Community-Based Development Organization (CBDO), see Resource Manual*) should meet one of the following criteria:
  - There is a clear link between the need for this affordable housing and accessibility to existing or planned jobs, and thus demonstrates expanded economic opportunity; or
  - The project is clearly designed to conserve energy and will realize substantial savings in energy costs.

### **2. ELIGIBLE PROJECT COSTS:**

- a. Acquisition: property acquisition and costs that are necessary to affect the acquisition
- b. Construction of site improvement related to affordable housing
- c. Rehabilitation:
  - Costs of labor, materials, supplies and other expenses required for the rehabilitation of the property, including repair or replacement of principal fixtures and components of existing structures;
  - Loans for refinancing existing indebtedness secured by a property being rehabilitated with CDBG funds (if such refinancing is determined by the County to be necessary or appropriate);
  - Property acquisition;
  - Security devices;
  - Insurance;
  - Water and energy conservation costs;
  - Tools;
  - Barrier removal;
  - Installation or replacement of landscaping, sidewalks and driveways;
  - Renovation of closed buildings.
- d. New construction (provided the applicant qualifies as a CBDO); the hard and soft costs related to construction of permanent structures

## Guidelines for Affordable Housing Capital Projects ONLY

### 3. PROJECT REQUIREMENTS:

Proposed Affordable Housing Capital Projects must comply with all Federal CDBG and Fairfax County laws, regulations, codes and guidelines. These requirements include:

a. **Purpose:**

- Projects must provide or improve residential structures which, upon completion, will be occupied by low- and moderate-income households.
- Projects will not result in the permanent displacement of any households or other entities.
- Proposal cannot be for an expansion or improvement of a County-owned facility or other publicly-owned facility.

b. **Income Targeting:** Housing, assisted under this RFP, must be occupied by low-to moderate-income (L/M) persons upon completion (Resource Manual: CDBG Income Limits).

c. **Rent Limitations:** When the housing is to be rented, in order for a dwelling unit to be considered to benefit a L/M income household, it must be occupied by the household at affordable rents. For the purposes of this RFP, affordable rents are based on the number of bedrooms (Resource Manual: CDBG Rent Limitations).

### 4. OCCUPANCY RULE:

a. Occupancy of the assisted housing by L/M income households is determined according to the following general rules:

- Single-unit structure must be occupied 100% by L/M income household;
- Two-unit structure (duplex) must have at least one unit occupied by a L/M household;
- A structure containing more than two units must have at least 51% of the units occupied by L/M income households.

b. **“Presumed” Single Structures:** CDBG assistance to two or more structures may be considered to meet the occupancy-by structure test as though all of the assisted structures were in a single structure if: buildings used for rental housing are under common ownership and management, and are located on the same or contiguous properties.

c. **Condominiums:** Where rehabilitation of one or more units in a multi-unit building that are owned on a condominium basis is limited to the particular unit(s) and does not involve rehabilitation of portions of the property that are held in common ownership, the unit(s) are considered to be separate structures.

## **Guidelines for Affordable Housing Capital Projects ONLY**

### **5. DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT REQUIREMENTS:**

All Affordable Housing Capital (AHC) Projects that have been recommended for award will be awarded federal Community Development Block Grant (CDBG) funding. Recommended AHC Projects are subject to underwriting and financing terms established by the Fairfax County Redevelopment and Housing Authority (FCRHA). The FCRHA established underwriting policies and financing terms will be executed by the Department of Housing and Community Development (HCD). HCD staff will serve as contract manager and will guide contractors through compliance with FCRHA underwriting and financing policies and procedures. It is the responsibility of the contractor to inform all settlement and other agents of the FCRHA policies and procedures.

Current FCRHA financing policies include:

- Cross-collateralization or cross-default by the liens of third party lenders are not allowed;
- Cash back at settlement is not allowed;
- The minimum required debt coverage ratio for the financed property is 1.0;
- The maximum allowable loan-to-appraised value is 1.00;
- The preferred terms of all third-party financing are fully amortizing, fixed interest rates loans without balloon payments;
- The contractor is required to establish and maintain a "FCRHA Reserve Account," in amounts to be established by the FCRHA; and
- Funding will not be provided for acquisition or post-acquisition rehabilitation of any property which had been occupied by anyone other than the owner within the ninety-day period prior to the date of the contractor's communication of interest in the property.

Pursuant to current FCRHA underwriting procedures, the HCD Loan Underwriting Committee (LUC) reviews both the feasibility of each capital project and the contractor's financial status prior to approving disbursement of CDBG funds. The contractor should be prepared to submit documentation for each capital project, including but not limited to the following:

- An appraisal of the subject property by certified appraiser selected by HCD;
- A project description, including the name of the seller, scope of rehabilitation (if applicable), funding sources and uses statement, funding commitments, intended beneficiaries, rents and affordability data, development and management composition, risks and concerns, project time line from start to occupancy, relocation plan, if applicable, evidence that the property meets local zoning and building codes, and an operating pro forma;
- A copy of the ratified sales contract;
- Interim financial reports, including current long-term debt, of the contractor;
- A Project Abstract for an environmental review;
- A Voluntary Acquisition Notice executed by the seller;
- A home inspection report by a certified contractor;
- Certified lead-based paint and radon tests reports, when applicable;
- A condominium resale package, if applicable;
- For construction projects, procurement and bidding procedures; and
- Evidence that notice of the project has been provided to the Fairfax County Supervisor for the district in which the capital project is located.

## **Guidelines for Affordable Housing Capital Projects ONLY**

At the sole discretion of the FCRHA, the Affordable Housing Capital Projects policies and procedures may be revised pursuant to Fairfax County affordable housing goals or to accommodate the characteristics of a capital project, as appropriate. Therefore, upon request, contractors should be prepared to provide additional information related to the particular characteristics of each project.

**Federal regulations prohibit the obligation of CDBG funds for a specific project until HCD has determined that the project is compliant with all applicable federal guidelines and policies, including but not limited to the National Environmental Policy Act of 1970, the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, the Fair Housing Amendments Act of 1988, and the Americans with Disabilities Act. All contracts will be required to comply with applicable labor laws, including the Davis Bacon Act of 1937.**

**Affordable Housing Capital Projects  
Community-Based Development Organization  
Check List**

Project: \_\_\_\_\_

Organization: \_\_\_\_\_

In order to qualify as a Community Based Development Organization (CBDO), an entity must meet the criteria specified at §570.204(c) (1), (2), or (3) of Community Development Block Grant (CDBG) regulations. Generally, this means that the entity must:

- ☐ Be organized under State or local law to carry out community development activities. For entitled communities, the entity must operate primarily within an identified neighborhood within the grantee's jurisdiction.
- ☐ Maintain at least 51% of its governing body's membership to be made up of any combination of the following:
  - low- and moderate-income residents of its area of operation,
  - owners or senior officers of private establishments and other institutions located in and serving its geographic area of operation, or
  - representatives of low- and moderate-income neighborhood organizations located in its geographic area of operation.
- ☐ Require that members of the governing body must be nominated and approved by the organization's general membership or by its permanent governing body (except otherwise authorized in §570.204(c)(1)(v)).
- ☐ Have as its primary purpose the improvement of the physical, economic, or social environment of its geographic area of operations, with particular emphasis on the needs of low- and moderate-income persons.
- ☐ Be either nonprofit or for-profit, but, if a for-profit, only incidental monetary benefits to its members are allowed.
- ☐ Not be an agency or instrumentality of the grantee, and not permit more than one-third of its governing body to be appointed by or consist of elected or other public officials or employees of the grantee (or of any other entity that could not qualify as a CBDO), even if such persons would otherwise meet the requirements described above.
- ☐ Not be subject to the reversion of its assets to the grantee upon dissolution (although a grantee may specify as a condition of providing CDBG funds to the entity that any assets related to the specific CDBG assistance being provided must revert to the grantee, whether or not the grantee designates the CBDO as a subrecipient. (Application of the reversion of assets clause under §570.503(b)(7) would be required for any CBDO designated as a subrecipient and would function to permit the specific assets purchased with the CDBG funds to revert back to the grantee. This would not constitute a violation of the §570.204 requirement.)).
- ☐ Be free to contract for goods and services from vendors of its own choosing (a sign that the entity is not an agent of the grantee).

Applicants recommended for CDBG funding will be required to submit CBDO documentation indicated in the checklists before award or execution of a contract.

# Community Development Block Grant

## ■ Current Income Eligibility Limits

Household Size (number of persons)	Extremely Low Income (30% MSA*)	Very Low Income (50% MSA*)	Low Income (80% MSA*)
1	\$23,200	\$38,650	\$52,550
2	\$26,500	\$44,150	\$60,050
3	\$29,800	\$49,650	\$67,550
4	\$33,100	\$55,150	\$75,050
5	\$35,750	\$59,600	\$81,100
6	\$38,400	\$64,000	\$87,100
7	\$41,050	\$68,400	\$93,100
8+	\$43,700	\$72,800	\$99,100

The income eligibility limits are established and revised by HUD. During the performance of the contract, the contractor shall comply with federally established income limits as published at <https://www.huduser.gov/portal/datasets/il.html> as the same may be amended from time to time.

\*Washington, D.C., Metropolitan Statistical Area (MSA)

MSA Median Family Income is \$110,300

Source: HUD

Effective Date: April 14, 2017



# Community Development Block Grant

- Current Washington, D.C. Metropolitan Statistical Area, CDBG Rent Limits

Unit Size	Rent Limits
Efficiency	\$1,440
1 Bedroom	\$1,513
2 Bedrooms	\$1,746
3 Bedrooms	\$2,300
4 Bedrooms	\$2,855

These rent limits are established and revised by HUD. During the performance of the contract, the contractor shall comply with federally established rent limits as published at <https://www.huduser.org/portal/datasets/fmr.html>.

## Federal Grant Terms and Conditions

1. **Civil Rights Requirements – 29 U.S.C. § 62, 42 U.S.C. § 2000, 42 U.S.C. § 602, 42 U.S.C. § 12112, 42 U.S.C. § 12132, 49 U.S.C. § 5332**
  - a. **Nondiscrimination** – In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000d, section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, section 202 of the Americans with Disabilities Act of 1990, 42 U.S.C. § 12132, and all other provisions of Federal law, the CONTRACTOR agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age, or disability. In addition, the CONTRACTOR agrees to comply with applicable Federal implementing regulations.
  - b. **Equal Employment Opportunity** – The following equal employment opportunity requirements apply to the underlying contract:
    1. **Race, Color, Creed, National Origin, Sex** – In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, the CONTRACTOR agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, “Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor,” 41 CFR Parts 60 et seq., (which implement Executive Order No. 11246, “Equal Employment Opportunity,” as amended by Executive Order No. 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” 42 U.S.C. § 2000e note), and with any applicable Federal Statutes, executive orders, regulations, and Federal policies that may in the future affect activities undertaken in the course of this Project. The CONTRACTOR agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, creed, national origin, sex, or age. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the CONTRACTOR agrees to comply with any implementing requirements the funding federal agency may issue.
    2. **Age** – In accordance with section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29 U.S.C. § § 623 and other applicable law, the CONTRACTOR agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the CONTRACTOR agrees to comply with any implementing requirements the funding federal agency may issue.
    3. **Disabilities** – In accordance with section 102 of the Americans with Disabilities Act, as amended, 42 U.S.C. § 12112, the CONTRACTOR agrees that it will comply with the requirements of U.S. Equal Employment Opportunity Commission, “Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act,” 29 CFR Part 1630, pertaining to employment of persons with disabilities. In addition, the CONTRACTOR agrees to comply with any implementing requirements the funding federal agency may issue.
  - b. The CONTRACTOR also agrees to include these requirements in each subcontract financed in whole or in part with Federal Assistance, modified only if necessary to identify the affected parties.

## 2. Energy Conservation - 42 U.S.C. 6321 et seq.

The CONTRACTOR agrees to comply with mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

## 3. Davis-Bacon Act

### Minimum wages.

- i. All laborers and mechanics employed or working upon the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH132) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- ii. The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
  - a. The work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - b. The classification is utilized in the area by the construction industry; and

- c. The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- iii. If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- iv. In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- v. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a) (1) (ii) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
  - a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
  - b. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

**Withholding.** Fairfax County shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper,

employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of the wages required by the contract, the (Agency) may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

**Payrolls and basic records.**

- i. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- ii. The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the (write in name of appropriate federal agency) if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant, sponsor, or owner, as the case may be, for transmission to the (write in name of agency). The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the (write in name of appropriate federal agency) if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit them to the applicant, sponsor, or owner, as the case may be, for transmission to the (write in name of agency), the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with

prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the sponsoring government agency (or the applicant, sponsor, or owner).

Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

- a. That the payroll for the payroll period contains the information required to be provided under § 5.5 (a)(3)(h) of Regulations, 29 CFR part 5, the appropriate information is being maintained under § 5.5 (a) (3) (i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
- b. That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
- c. That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a) (3) (ii) (B) of this section.

The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

- iii. The contractor or subcontractor shall make the records required under paragraph (a) (3) (i) of this section available for inspection, copying, or transcription by authorized representatives of the (write the name of the agency) or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### **Apprentices and trainees—**

- i. **Apprentices.** Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the



Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- ii. **Trainees.** Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and

Training Administration withdraws approval of a training program; the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- iii. **Equal employment opportunity.** The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

**Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

**Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5 [a] (1) through (10) and such other clauses as the (write in the name of the Federal agency) may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

**Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**Certification of eligibility.**

- i. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- ii. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- iii. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

- 4. **Contract Work Hours and Safety Standards Act.** The Agency Head shall cause or require the contracting officer to insert the following clauses set forth in paragraphs (b)(1), (2), (3), and (4) of this section in full in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in

addition to the clauses required by § 5.5(a) or 4.6 of part 4 of this title. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

- i. **Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- ii. **Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (b)(1) of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (b)(1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (b)(1) of this section.
- iii. **Withholding for unpaid wages and liquidated damages.** The County of Fairfax shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.
- iv. **Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (b)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (b)(1) through (4) of this section.

In addition to the clauses contained in paragraph (b), in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in § 5.1, the Agency Head shall cause or require the contracting officer to insert a clause requiring that the contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Agency Head shall cause or require the contracting officer to insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor,

and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.

**5. Recycled Products – 42 U.S.C. 6962**

The Recycled Products requirements apply to all contracts for items designated by the EPA, when the County or the CONTRACTOR procures \$10,000 or more of one of these items during the fiscal year, or has procured \$10,000 or more of such items in the previous fiscal year, using federal funds.

The CONTRACTOR agrees to comply with all requirements of Section 6002 of the Resource Conservation and Recovery Act (RCRA), as amended (42 U.S.C. 6962), including but not limited to regulatory provisions of 40 CFR Part 247, and Executive Order 12873, as they apply to the procurement of the items designated in Subpart B of 40 CFR Part 247.

**6. Clean Water Requirements – 33 U.S.C. 1251 et seq.**

- i. The CONTRACTOR agrees to comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended. The CONTRACTOR agrees to report each violation to the County and understands and agrees that the County will, in turn, report each violation as required to assure notification to appropriate federal agencies including the appropriate EPA Regional Office.
- ii. The CONTRACTOR also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance.

**7. Clean Air – 42 U.S.C. 7401 et seq.**

The Clean Air requirements apply to all contracts exceeding \$100,000, including indefinite quantities where the amount is expected to exceed \$100,000 in any year.

- i. The CONTRACTOR agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. §§ 7401 et seq. The CONTRACTOR agrees to report each violation to the County and understands and agrees that the County will, in turn, report each violation as required to assure notification to the funding federal agency, if any, and the appropriate EPA regional office.
- ii. The CONTRACTOR also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance.

**8. Program Fraud and False or Fraudulent Statements and Related Acts – 31 U.S.C. 3801 et seq.**

- a. The CONTRACTOR acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 et. seq. and all appropriate federal agency regulations apply to its actions pertaining to this Project. Upon execution of the underlying contract, the CONTRACTOR certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract of the Federally assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the CONTRACTOR further acknowledges that if it makes, or caused to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the CONTRACTOR or to the extent the Federal Government deems appropriate.

- b. The CONTRACTOR also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance, the Federal Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5307(n)(1) on the CONTRACTOR, to the extent the Federal Government deems appropriate.
- c. The CONTRACTOR agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to the provisions.

## 9. Patent and Rights in Data

**A. Rights in Data** - The following requirements apply to each contract involving experimental, developmental or research work:

(1) The term "subject data" used in this clause means recorded information, whether or not copyrighted, that is delivered or specified to be delivered under the contract. The term includes graphic or pictorial delineation in media such as drawings or photographs; text in specifications or related performance or design-type documents; machine forms such as punched cards, magnetic tape, or computer memory printouts; and information retained in computer memory. Examples include, but are not limited to: computer software, engineering drawings and associated lists, specifications, standards, process sheets, manuals, technical reports, catalog item identifications, and related information. The term "subject data" does not include financial reports, cost analyses, and similar information incidental to contract administration.

(2) The following restrictions apply to all subject data first produced in the performance of the contract to which this Attachment has been added:

- (a) In accordance with 49 C.F.R. § 18.34 and 49 C.F.R. § 19.36, the Federal Government reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use, for "Federal Government purposes," any subject data or copyright described in subsections (2)(b)1 and (2)(b)2 of this clause below. As used in the previous sentence, "for Federal Government purposes," means use only for the direct purposes of the Federal Government. Without the copyright owner's consent, the Federal Government may not extend its Federal license to any other party.

- 1. Any subject data developed under that contract, whether or not a copyright has been obtained; and
- 2. Any rights of copyright purchased by the Purchaser or CONTRACTOR using Federal assistance.

**B. Patent Rights** - The following requirements apply to each contract involving experimental, developmental, or research work:

(1) General - If any invention, improvement, or discovery is conceived or first actually reduced to practice in the course of or under the contract to which this Attachment has been added, and that invention, improvement, or discovery is patentable under the laws of the United States of America or any foreign country, the Purchaser and CONTRACTOR agree to take actions necessary to provide immediate notice and a detailed report to the party at a higher tier until the Federal funding agency is ultimately notified.

(2) Unless the Federal Government later makes a contrary determination in writing, irrespective of the CONTRACTOR status (a large business, small business, state government or state instrumentality, local government, nonprofit organization, institution of higher education, individual), the Purchaser and the CONTRACTOR agree to take the necessary actions to provide, through the Federal funding agency, those rights in that invention due the Federal Government as described in U.S. Department of Commerce regulations, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," 37 C.F.R. Part 401.

(3) The CONTRACTOR also agrees to include the requirements of this clause in each subcontract for experimental, developmental, or research work financed in whole or in part with Federal assistance.

**10. Rights to Inventions Made Under a Contract or Agreement.**

If the Federal award meets the definition of "funding agreement" under 37 CFR §401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

**11. Interest of Members of Congress**

No member of or delegates to the Congress of the United States shall be admitted to a share or part of this Contract or to any benefit arising there from.

**12. Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)**

Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.



# Fairfax County Vision

## Core Purpose and Desired Results

To protect and enrich the quality of life for the people, neighborhoods and diverse communities of Fairfax County by:

- **Maintaining Safe and Caring Communities:** The needs of a diverse and growing community are met through innovative public and private services, community partnerships and volunteer opportunities. As a result, residents feel safe and secure, capable of accessing the range of services and opportunities they need, and are willing and able to give back to their community.
- **Building Livable Spaces:** Together, we encourage distinctive "built environments" that create a sense of place, reflect the character, history and natural environment of the community, and take a variety of forms -- from identifiable neighborhoods, to main streets, to town centers. As a result, people throughout the community feel they have unique and desirable places to live, work, shop, play and connect with others.
- **Connecting People and Places:** Transportation, technology and information effectively and efficiently connect people and ideas. As a result, people feel a part of their community and have the ability to access places and resources in a timely, safe and convenient manner.
- **Maintaining Healthy Economies:** Investments in the workforce, jobs, and community infrastructure and institutions support a diverse and thriving economy. As a result, individuals are able to meet their needs and have the opportunity to grow and develop their talent and income according to their potential.
- **Practicing Environmental Stewardship:** Local government, industry and residents seek ways to use all resources wisely and to protect and enhance the County's natural environment and open space. As a result, residents feel good about their quality of life and embrace environmental stewardship as a personal and shared responsibility.
- **Creating a Culture of Engagement:** Individuals enhance community life by participating in and supporting civic groups, discussion groups, public-private partnerships and other activities that seek to understand and address community needs and opportunities. As a result, residents feel that they can make a difference and work in partnership with others to understand and address pressing public issues.
- **Exercising Corporate Stewardship:** Fairfax County government is accessible, responsible and accountable. As a result, actions are responsive, providing superior customer service and reflecting sound management of County resources and assets.